Policy, Procedure & Guidelines



COLORADO Division of Water Resources

Department of Natural Resources

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State and Division Engineer's Forum - April 15, 2014

DWR Roles and Responsibilities

- Water Administration
- Stream Flow Measurement
- Dam Safety
- Ground Water Administration
- Interstate compacts
- Water Information Record Keeping
 Diversions & Types of Use

Key Provisions of 1969 Act

- •Integrates the use of tributary ground water with surface water-maximum utilization
- •Water Courts and Water Divisions
- •Adjudication of tributary ground water rights; first time required; retain priority
- •Tabulation and Abandonment Procedures
- •Plan for Augmentation created to maximize use of ground water
- State Engineer administers all rights
- •Rules for administration of wells authorized

DWR Administration - The How:

LAW

- 1. Court Decrees
- 2. Statute

Force of LAW

3. Rules & Regulations

Tools (Not law - tools)

- 4. Policy (informal policies)
- 5. Protocol
- 6. Guideline
- 7. Procedures

DWR Administration - The Why:

Regulatory Certainty

Transparency

•Provides Notice and Accountability

•Flexibility

- •Modify as needed with Public input
- •Basin Specific

Efficiency

- •Decree & Rules compliance
- •Reporting, Measuring, Communication
- •Provide standard methods throughout Division / State

1. Court Decrees:

•DWR Responsible for Administration of Water Rights in accordance with Court Decrees.

•ALL terms and conditions administered

•Decree is Law

•Terms and Conditions Control VS. Policy & Guidelines

2. Statutes:

•Directs and provides authority to State Engineer regarding duties and responsibilities including water administration.

•37-92-301

•(1) state engineer shall be responsible for the administration and distribution of the waters of the state, and, in each division, such administration and distribution shall be accomplished through the offices of the division engineer.......
•(3)In the distribution of water, the state engineer......shall be governed by the priorities for water rights and conditional water rights.

Example Statutes:

General Statutory Authority

•State Engineer Authority and Responsibilities C.R.S.37-80-101, et seq.

- •Rule making authority—§§37-80-102(1)(g), -102(1)(k), §37-92-501
- •Surface water administration—§§37-92-102, -301
- •Ground water administration—§§37-90-110, -111, -138, §37-90.5-102,

§§37-92-102, -301§37-92-501 "Water Rule Power"

•Orders to comply -\$\$37-92-502, -503

•Responsibility of User of Water for Maintenance of Diversion Structures, Ditches and Measuring Flumes – C.R.S. 37-84

3. Rules & Regulations: Definition & Purpose

C.R.S. § 24-4-102(15) defines a "Rule" as "the whole or any part of every agency statement of general applicability and future effect implementing, interpreting, or declaring law or policy or setting forth the procedure or practice requirements of an agency. 'Rule' includes 'regulation'."

Rules & Regulations – Have the Force of Law
Required to assist the SEO with mandated duties including:
administration, distribution and regulation of the waters of the state.

•deliveries of water to meet compact obligations

3. Rules: authority to promulgate

- •C.R.S. § 37-80-102(1)(k) State Engineer has the "... power and authority to make and enforce such rules and regulations as he may find necessary or desirable to effectuate the performance of his duties. ..
- •C.R.S. § 37-92-501: authorizes State Engineer to adopt rules and regulations to assist in the performance of the administration, distribution and regulation of the waters of the state.
- •C.R.S. § 37-80-104: make and enforce rules and regulations with respect to deliveries of water to meet compact obligations
- •Rules are developed and promulgated through:
 - Water Court
 Administrative Procedure Act (APA)
 Required Steps/Process
 Notice / Public Input

Example - Rules

Well Measurement Rules, 11CW292 (SP Trib. GW)
Defines Acceptable Measurement Devices
Provides Requirements for Accuracy Testing
Provides Requirements for Usage Reporting
Method & Submittal Dates
Standardizes and Defines "Inactive" Well

4. Policy: Definition and Purpose

•Definition:

a: definite course or method of action...to guide and determine present and future decisions;

b : explain the meaning of a phrase in a statute or other rule and describes the factors an agency will consider.

•Used to clarify Rules, Statutes, Decrees.

- •Clarify what is required by Rules, Statutes & Decrees
- •Generally does not create new requirements
- •Does not contradict Rules or Decree terms & conditions.
- •Defines acceptable methods such as: reporting, measurement, etc.
- •Provides outline of what is reviewed in determining compliance with Rules or Statute.
- •Clear and rigid, similar to Rule.
- •Generally based upon water court decisions and Best Management Practices •May not be legally binding.

Example - Policy

• Policy 2011-3 CONCERNING COMMERCIAL ACTIVITIES ON PROPERTY SERVED BY EXEMPT WELLS

The objective of this policy is to define the extent to which a well user may engage in commercial activities on the site that is served by an exempt well whose uses are limited according to 37-92-602(1)(b) and (e).

•Defines and clarifies allowable types of use and allowable volumetric diversion in considering statute.

- •Allowable business in residential dwelling
- Domestic Animals as commercial endeavor
- •Water of lawn and garden as commercial endeavor

5. Protocol: Definition and Purpose

Definition

a : a system of rules that explain the <u>correct procedures</u> to be followed in formal situations such as; electronic data communications; reporting, accounting, etc.

•Used to provide **specific procedures required** in accomplishing certain requirements.

•Provides detailed steps in data collection, formatting and reporting.

•Provides standards for communication between electronic systems.

•Reporting methodology, naming conventions, format, etc.

•Deviation from Protocol may result in additional requirements and possible delays (SDR – different software and hardware, etc.).

•Compliance with Protocol assists in timely DWR decisions

Protocol provides minimum needed by DWR for administration
Tool – not legally binding

Example - Protocol

ADMINISTRATION PROTOCOL Augmentation Plan Accounting Division One

•Establishes Accounting and Reporting process

- What data to record and how often (format and frequency)Where to submit data (email)
- •Formatting data (naming convention, tabs, etc. (Excel)
- •When to submit (daily, weekly, monthly, annual)

required to enable the division engineer's office to confirm that depletions from all out-of-priority diversions are being replaced so as to prevent injury to vested water rights.

6. Guidelines: Definition and Purpose

Definition

: instruction that explains or recommends how something should be done

•Provides recommendations / guidance for steps in achieving compliance with Policy or Rule.

- •Provides standard guidance for reporting, measuring, etc.
- •Guides everyone towards a similar conclusion/result
- •More flexible than Rules and Policy.
- •Serves as guidance, not legally binding.

Example - Guideline

Reservoir Accounting Guidelines

Describes how the Division Engineer for Water Division One intends to administer reservoir operations so as to confirm that vested water rights are not impacted by the reservoir. It serves as a guide to reservoir administration when decrees do not specifically address the issue of accounting.

"Guides" water user to best or recommended method
Similar method or convention is generally accepted.
Steps taken not as "critical" as Protocol or Policy

•Provides General Items to be included in Reporting or Process

- •General Data
- •Accounts
- •Owe The River (OTR)
- Evaporation

7. Procedure: Definition & Purpose

DEFINITION:

Defines general <u>steps taken</u> in making a determination or plan for communicating a decision of the Division Engineer

•Flexible and subject to change

•Working document - changes with water user input to meet needs.

•Provides clear communication to water users of how the Division Engineer will make and communicate decisions/issues

•Serves as guidance, not legally binding.

Example - Procedure

Communicating Transit Losses District 2 - South Platte Mainstem below Chatfield

- Implementing monthly advance notice of trend during irrigation season (email notification)
- Seeking monthly user information/input
- Communicating specific number monthly

Proposed New Policy, 2014?

- Free River Policy
- Futile Call Policy

Division 1 South Platte Tributary Ground Water Measurement Policy
Division 1 Republican River Measurement Rules Policy - Amendment

Proposed New Guidelines / Procedures

•Transit Losses

- Looking at NHD miles with Water Comm.
- •Considering applicable "typical" loss per mile
- •Measurement & Reporting
 - •Special Uses from river or ditch (i.e. industrial/fracking)

Where to find information

• <u>www.water.state.co.us</u>

Colorado Division of Water Resources Focused on Colorado's People, Water and Stewardship								
	Home	Ground Water	Surface Water	Data & Maps	Documents 8	Forms	Division Offices	
> Do	ocuments & Forms > Poli	icies and Guidelines > So ı	Ith Platte River Basin Guidance					
•	Forms South Platte River Basin Guidance							
•	Imaged Documents	ged Documents Please visit the <u>Division 1 homepage</u> for more information.						
•	News and Notificat	ion The	The policies below are in PDF format, which requires a <u>PDF Reader</u> for viewing.					
	Policies and Guidel							
	Augmentation and Water Supply Plan	l Substitute Policies				1.00	an River Basin Rules Homepage	
	Board of Examiner							
	Board of Examiner	s Policies						
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	Dam Safety Policie Guidelines	s and Divi						
	Denver Basin Polic	Divi						
	Designated Basins (Ground Water Co	Divi						
			Division 1 - South Platte River Administrative Guideline: Computer Readable Data (pdf) Division 1 - South Platte River Administrative Guideline: Purpose and Types of Documents (pdf)					
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	Use and Measurem	ant Policies	otocols		, , , , , , , , , , , , , , , , , , ,			
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	STE OF COLOR		Division 1 - South Platte River Administrative Protocol: Temporary Detention of Direct Flow Rights (pdf)					
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Questions

www.water.state.co.us