# ENGINEER'S INSPECTION REPORT INSPECTOR: OFFICE OF THE STATE ENGINEER - DIVISION OF WATER RESOURCES - DAM SAFETY BRANCH 1313 SHERMAN STREET, ROOM 818, DENVER, CO 80203, (303) 866-3581

DAM NAM DAM ID:	E: BATTL 240109	E MOUNTAIN SAN YRCompl	1.444	T: 0 R: 0	S: 140.0	COUNTY: CO		4.0	DATE OF INSPECTION PREVIOUS INSPECTION	
CLASS:	N haza			DAM LENGTH(FT):	1640.0	SPILLWAY CAPAC	` '	170.0	NORMAL STORAGE (A	
DIV:	3	WD:	24	CRESTWIDTH(FT):	30.0	FREEBOARD (FT):		10.0	SURFACE AREA(AC):	150.0
EAP:	Not Re	quired		CRESTELEV(FT):	8620.0	DRAINAGE AREA (	(AC.):	896.0	OUTLET INSPECTED:	
CURRE	NT REST	RICTION: -	NONE							
OWNER: ADDRESS INSPECTION REPRESE	ON PARTY		kson, Russ M	CO 81	152- Julio Mad Battle Mo	OWNER REP.: CONTACT NAME: CONTACT PHONE: Irid ountain Resources	JULIO (719) 3			Dam Safety Branc
FIELD		MIATED LEVEL DE	LOW DALL COEST	~10-12	TT AL	0.78		r**	OLCE DOD OFLDING	None
CONDITIONS OBSERVED		WATER LEVEL: BE		DRY	FT. Ab	ove Spillway	OVER	FT. OTHI	GAGE ROD READING	NOIB
		51.05.12 11.01.01.01.2	DIRECTIONS:			ND AND UNDERLINE W				
			DIRECTIONS.	INDICAN ATOR CO	NE TIONS TOO	NO AND DIVELLENC VI	OLDO ILIAI	ACFL:		
				Ű	PSTREA	M SLOPE				
PROB	LEMS NO	TED: (0)NONE	(1)RIPR	AP - MISSING, SPARSE	E, DISPLACED,	WEATHERED []	(2) WAVE E	ROSION - V	ITH SCARPS	
<u> </u>	) CRACKS	WITH DISPLACEME	NT (4) SIN	KHOLE (5) AP	PEARS TOO S	TEEP (6) DEPRI	ESSIONS OR	BULGES	(7) SLIDES	
<b>□</b> (8	) CONCRET	E FACING - HOLES	, CRACKS, DIS	PLACED, UNDERMINED	<b>(</b> 9) (	OTHER excavation	on into slop	e (see bel	ów)	
•No s NOT Recla	ilgns of E: This d amation,	Mining & Safet	observed. From State E v. Where G	ngineers Office Da	r Poor cond	litions are assign	ed herein	(see belo	d by the DNR Divis w), these ratings ar ion of this report.	
		CONE	ITIONS OBSER	VED: Good		X Acceptable		Poor		
					CR	EST				
PROB	LEMS NO	red: (10) NONE	(11 RUT	rs or puddles	(12) EROSION	(13) CRACKS	- WITH DISP	LACEMENT	(14) SINKHOLES	
(1	5) NOT WIE	E ENOUGH	(16) LOW AREA	(17) MISALIGNA	MENT 🗸	18) IMPROPER SURFAC	DE DRAINAGE	E 📝 (19) C	THER See below	
•The owner facili •Mair recor	owner re er's engli ty. We s ntenance nmend t re is a hi	neer should ver pecifically disc grading has re hat the crest be	tage capacity that the cussed that the sulted in a very graded to correct near the correct	dam crest elevation he dam crest profit windrow of soil alo drain freely toward he right dam abutm	n is maintai le of the em ing the upst the upstrea	ned for the origina bankment along t ream shoulder, w im slope to preve	al design the 100-YF thich could nt water fr	criteria (e: R diversio d inhibit p om pondi	report, we recomn c. for PMF storage) n ditch should be c roper surface drain ng on the embankn sses the dam crest	around the hecked age. We nent.
			ITIONS OBSER	parag	1	X Acceptable	- Marrier Der von 170	Poor	in filian un en um arun e un europe (in eur eaf r	e de publicatives de periode el le travelue e e
				nov	VNSTRE	= EAM SLOPE				
₽ROB	LEMS NO	(20) NONE	(21) LIVESTO	OCK DAMAGE (22) E		the state of the state of the state of		DISPLACEME	NT (24) SINKHOLE	
[ ](25	S) APPEARS	TOO STEEP (	26) DEPRESSK	ONS OR BULGES (2	7) SUDE	(28) SOFT AREAS	<b>/</b> (29) OTHEF	R See belo		- 
•The wide •Vege slope	Phase I ( each) or etation c	as-built plans s top half of the over is typically epairs of erosic	how a 3H:1\ slope. / sage brush on damage h	/ downstream slop n, which is typical i nave been made at	e. The existor the San the right ar	ting slope appear  Luis Valley climated left groins (see	rs to be th e. No sign below).	at or flatte	r. There are also :	observed on the
•Rece small	ent repai	rs to erosion da on ditch was ad	amage and t	he liner were made	at the righ	t groin on the dov	wnstream	slope (su groin and	face area of repair I liner. A similar re	~200' x 50'). A pair was made at
			TIONS OBSERV	/ED: Good	e de la constanta de la comita d T	X Acceptable	r, yeti naredniettini.	Poor	e egengy estant par ette 500 fligt fintel etter	२२, २८, १४, १८, १८, १८, १८, १८, १८, १८, १८, १८, १८

ENGINEER'S INSPECTION REPORT

DAM NAME: BATTLE MOUNTAIN SAN LUIS TAILIN

DATE. 5/13/2013 DAM I.D.: 240109

SEEPAGE							
PROBLEMS NOTED: (30) NONE (31) SATURATED EMBANKMENT AREA	(32) SEEPAGE EXITS ON EMBANKMENT	T					
	5) FLOW ADJACENT TO OUTLET (36)	SEEPAGE INCREASED / MUDDY					
DRAIN OUTFALLS SEEN No Versal Show location of drains on sketch and indicate amount and quality of discharge.	37) FLOW INCREASED / MUDDY	(38) DRAIN DRY / OBSTRUCTED					
☑ (39) OTHER See below. We recommend additional investigations							
•There is reportedly a drainage pipe system under the embankmen "Drainage Blanket" under the Type 1 material, above the liner, in the	t, above the geosynthetic liner. le upstream shell of the embank	The Phase I as-built plans show a 3-ft thick ment; however, we do not find details for an					
underdrain pipe system.  Three 12" diameter HDPE pipes outfall at the downstream toe of the owner reports that the three pipes may be short extensions of under the main embankment. Again, no details of the collection pile. Uncontrolled seepage was observed exiting ~6-ft above the 12" Hill Based on the above observations, we recommend:  (1) research to determine the design of the seepage collection pipe pipe collection system, determine if it is feasible to video inspect to inspections be performed at least every 10 years for SEO-regulated.	what they believe is a larger (36 pe system were found by us on DPE drain outfalls on the downs system under the embankment the pipes. The SEO recommends	"48" dia.) HPDE seepage collection pipe the Phase I as-built plans. tream slope of the main embankment. , and (2) after determining the design of the s that internal outlet conduit video					
<ul> <li>According to the Phase I as-built plans, the Seepage Collection Po- embankment with a structural height of ~15-ft. We recommend that the TR-33 dam safety inspections.</li> </ul>							
CONDITIONS OBSERVED: Good	X Acceptable	☐ Poor					
	UTLET PERATING ACCESS (43) INOPERA	0.F					
	Limit V C						
(44) UPSTREAM OR DOWNSTREAM STRUCTURE DETERIORATED (45) OUTLE INTERIOR INSPECTED (120) NO (121) YES (46) CONDUIT DETERIORATED	_	JYESNO .ACED(48) VALVE LEAKAGE					
√ (49) OTHER See below							
<ul> <li>There is no controllable outlet works. During the normal operation</li> </ul>	ns the facility holds only a small	amount of surface water. NOTE: There is					
reportedly a seepage collection pipe system through the embankm	ent; see Seepage section of the	report for more information. NOT RATED.					
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						
CONDITIONS OBSERVED: Good	Acceptable	Poor					
SPI	LWAY						
SPII  PROBLEMS NOTED: (50) NONE (51) NO EMERGENCY SPILLWAY FOUND ((51))	LWAY  12) EROSION WITH BACKCUTTING	Poor  (53) CRACK - WITH DISPLACEMENT					
SPII  PROBLEMS NOTED: (50) NONE (51) NO EMERGENCY SPILLWAY FOUND (64) APPEARS TO BE STRUCTURALLY INADEQUATE (55) APPEARS TOO SMA	LWAY  12) EROSION WITH BACKCUTTING	Poor (53) CRACK - WITH DISPLACEMENT					
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PROBLEMS NOTED: (50) NONE (51) NO EMERGENCY SPILLWAY FOUND (64) APPEARS TO BE STRUCTURALLY INADEQUATE (55) APPEARS TOO SMA (58) CONCRETE DETERIORATED / UNDERMINED (59) OTHER See below.  The facility is reportedly designed to contain the full Probable Max	LWAY  22) EROSION WITH BACKCUTTING  LL (56) INADEQUATE FREEBOARD  Imum Flood (PMF), along with a	POOT					
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PROBLEMS NOTED: (50) NONE (51) NO EMERGENCY SPILLWAY FOUND (54) APPEARS TO BE STRUCTURALLY INADEQUATE (55) APPEARS TOO SMA (58) CONCRETE DETERIORATED / UNDERMINED (59) OTHER See below.  The facility is reportedly designed to contain the full Probable Max from the south drainage area around the tallings facility and througe construction plans indicate that the diversion ditch is designed to comments during the inspection. It is not clear to us how the ditch the PMF. In other words, could the drop structure overtop, fail and this question should be addressed during the Potential Failure Modern (1998).	LWAY  2) EROSION WITH BACKCUTTING  LL [ (58) INADEQUATE FREEBOARD  Imum Flood (PMF), along with a th a 48-inch diameter CMP culve arry 100-YR freguency flows, w n and adjacent tailings embankn lead to head-cutting erosion on the portion of the TR-33 process	POOT					
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ENGINEER'S INSPECTION REPORT

DAM NAME: BATTLE MOUNTAIN SAN LUIS TAILIN

DATE. 5/13/2013 DAM I.D.: 240109

MAINTENANCE AND REPAIRS
PROBLEMS NOTED: (60 NONE (61) ACCESS ROAD NEEDS MAINTENANCE (62) LIVESTOCK DAMAGE
[] (63) BRUSH ON UPSTREAM SLOPE, CREST, DOWNSTREAM SLOPE, TOE [] (64) TREES ON UPSTREAM SLOPE, CREST, DOWNSTREAM SLOPE, TOE
(66) RODENT ACTIVITY ON UPSTREAM SLOPE, CREST, DOWNSTREAM SLOPE, TOE (66) DETERIORATED CONCRETE - FACING, OUTLET, SPILLWAY
☐ (67) GATE AND OPERATING MECHANISM NEED MAINTENANCE
•The dam owner performs routine maintenance. We observed where they completed recent repairs of erosion damage along the right and left groins of the downstream slope and at the south diversion drop structure outfall.
•We recommend the following additional maintenance:
- the excavation into the upstream slope at the old seepage recovery pipeline should be rebuilt with compacted fill.
-The crest should be graded to promote positive drainage off of the embankment and toward the upstream slope. Remove the windrow along
the upstream shoulder:
- Control large brush on the embankment in order to allow good routine visual inspection of the slopes
CONDITIONS OBSERVED: Good X Acceptable Poor

ENGINEER'S INSPECTION REPORT

DAM NAME: BATTLE MOUNTAIN SAN LUIS TAILIN

# OVERALL CONDITIONS

DATE. 5/13/2013

DAM I.D.: 240109

The Battle Mountain San Luis Project Tailings Dam is regulated by the DNR Division of Reclamation, Mining & Safety (DRMS) and is a State Engineer's Office (SEO) Exempt structure in accordance with Rule 17.2 of the State of Colorado's Rules and Regulations for Dam Safety and Dam Construction. Rule 17.2 exempts Mine Tailings impoundments permitted under the State Mined Land Reclamation Act. In addition, the Seepage Collection Pond dam at the toe of the main tailings dam is considered to be an SEO Exempt structure in accordance with the same Rule, which also exempts solution process impoundments that are permitted under the State Mined Land Reclamation Act.

The SEO performed the current dam safety inspection solely to provide technical assistance to DRMS as part of their Technical Revision (TR) 33 regarding a dam safety inspection program for the facility.

The SEO does not have expertise or experience specific to tailings dams. Our recommendations and observations are provided based on Dam Safety experience with dams and associated facilities designed to impound water. Subject to this limitation, we did not observe signs of distress or patent problems with the design that would lead us to believe the facility is unsafe. We do have several recommendations for improving the safety of the structure: The following Maintenance and Engineering Actions should be regarded as technical recommendations from the SEO to DRMS, the project regulator, and NOT as requirements from the SEO to the dam owner.

Because the facility is an Exempt Structure, the State Engineer has not assigned an Overall Condition rating. Based on this Safety inspection and recent file review, the overall condition is determined to be: (71) SATISFACTORY (72) CONDITIONALLY SATISFACTORY (73) UNSATISFACTORY ITEMS REQUIRING ACTION BY OWNER TO IMPROVE THE SAFETY OF THE DAM MAINTENANCE - MINOR REPAIR - MONITORING the reservoir owner or operator, damages caused by leakage or (80) PROVIDE ADDITIONAL RIPRAP: (81) LUBRICATE AND OPERATE OUTLET GATES THROUGH FULL CYCLE (82) CLEAR TREES AND/OR BRUSH FROM: Control height of brush to allow good routine visual inspection of the embankment slopes (83) INITIATE RODENT CONTROL PROGRAM AND PROPERLY BACKFILL EXISTING HOLES: any unsafe condition of the subject dam. ▼ (84) GRADE CREST TO A UNIFORM ELEVATION WITH DRAINAGE TO THE UPSTREAM SLOPE: AND remove windrow of soil on upstream shoulder (87) DEVELOP AND SUBMIT AN EMERGENCY ACTION PLAN: We provided an example SEO Emegency Action Plan to DRMS. DRMS will determine EAP assume responsibility for any unsafe condition of responsibility for the safety of this dam rests with I who should take every step necessary to prevent to requirements, if any, for the dam owner. necessary to prevent √ (88) OTHER Repair upstream slope with compacted fill at the excavation along the old seepage recovery pipeline (89) OTHER We recommend inspecting the Seepage Collection Pond embankment as part of the TR-33 process. ENGINEERING - EMPLOY AN ENGINEER EXPERIENCED IN DESIGN AND CONSTRUCTION OF DAMS TO: (Plans and Specifications must be approved by State Engineer prior to construction. Engineer, by providing this (90) PREPARE PLANS AND SPECIFICATIONS FOR REHABILITATION OF THE DAM: (91) PREPARE AS -BUILT DRAWINGS OF: 92) PERFORM A GEOTECHNICAL INVESTIGATION TO EVALUATE THE STABILITY OF THE DAM: 93) PERFORM A HYDROLOGIC STUDY TO DETERMINE REQUIRED SPILLWAY SIZE: [94] PREPARE PLANS AND SPECIFICATIONS FOR AN ADEQUATE SPILLWAY: (95) SET UP A MONITORING SYSTEM INCLUDING WORK SHEETS, REDUCED DATA AND GRAPHED RESULTS: (96) PERFORM AN INTERNAL INSPECTION OF THE OUTLET: Determine the design of the seepage collection pipe system under the embankment. If possible video inspect the pipes. Determine source of uncontrolled seepage exiting on downstream slope above collection drain outfails (97) OTHER: Consider installing a trash rack at the south diversion drop structure inlet. (98) OTHER: Perform an internal inspection of the south diversion drop structure conduit. ALSO we recommend evaluating how the Maximum Normal water level is controlled in both the main tailings dam and the seepage collection pond (See spillway sect. of this report). (99) OTHER: As part of TR-33 reporting, evaluate dam crest elevations around perimeter of the facility (see recent survey) against design criteria. ALSO evaluate whether performance of the south diversion during large flood events is a failure mode. SAFE STORAGE LEVEL: RECOMMENDED AS A RESULT OF THIS INSPECTION (101) FULL STORAGE FT. BELOW DAM CREST RESTRICTED LEVEL (102) CONDITIONAL FULL STORAGE FT. BELOW SPILLWAY CREST OFFICIAL ORDER TO FOLLOW FT. GAGE HEIGHT (103) RECOMMENDED RESTRICTION NO STORAGE-MAINTAIN OUTLET FULLY OPEN (104) CONTINUE EXISTING RESTRICTION

Safe storage level is NOT assigned by the SEO because the structure is Exempt per Rule 17.2 of the State of Colorado Rules and Regulations for Dam Safety and Dam Construction.

REASON FOR RESTRICTION

ENGINEER'S INSPECTION REPORT

DATE: 5/13/2013

DAM I.D.: 240109

Engineer's Signature

NNSPECTED BY NAPER A. Perry, P.E.

6/3/13

DAM NAME: BATTLE MOUNTAIN SAN LUIS TAILIN

DAM I.D.: 240109

DATE. 5/13/2013

# **GUIDELINES FOR DETERMINING CONDITIONS**

# CONDITIONS OBSERVED - APPLIES TO UPSTREAM SLOPE, CREST, DOWNSTREAM SLOPE, OUTLET, SPILLWAY

# GOOD

In general, this part of the structure has a near new appearance, and conditions observed in this area do not appear to threaten the safety of the dam.

## ACCEPTABLE

Although general cross-section is maintained, surfaces may be irregular, eroded, rutted, spalled, or otherwise not in new condition. Conditions in this area do not currently appear to threaten the safety of the dam.

# POOR

Conditions observed in this area appear to threaten the safety of the dam.

# CONDITIONS OBSERVED - APPLIES TO SEEPAGE

# GOOD

No evidence of uncontrolled seepage. No unexplained increase in flows from designed drains. All seepage is clear. Seepage conditions do not appear to threaten the safety of the dam.

# **ACCEPTABLE**

Some seepage exists at areas other than the drain outfalls, or other designed drains. No unexplained increase in seepage. All seepage is clear. Seepage conditions observed do not currently appear to threaten the safety of the dam.

# POOR

Seepage conditions observed appear to threaten the safety of the dam. Examples:

1) Designed drain or seepage flows have increased without increase in reservoir level.

2) Drain or seepage flows contain sediment, i.e., muddy water or particles in jar samples.

3) Widespread seepage, concentrated seepage, or ponding appears to threaten the safety of the dam.

# CONDITIONS OBSERVED - APPLIES TO MONITORING

# GOOD

Monitoring includes movement surveys and leakage measurements for all dams, and piezometer readings for High hazard dams. Instrumentation is in reliable, working condition. A plan for monitoring the instrumentation and analyzing results by the owner's engineer is in effect. Periodic inspections by owner's engineer.

# ACCEPTABLE

Monitoring includes movement surveys and leakage measurements for High and Significant hazard dams; leakage measurements for Low hazard dams. Instrumentation is in serviceable condition. A plan for monitoring instrumentation is in effect by owner. Periodic inspections by owner or representative. OR, NO MONITORING REQUIRED.

# POOR

All instrumentation and monitoring described under "ACCEPTABLE" here for each class of dam, are not provided, or required periodic readings are not being made, or unexplained changes in readings are not reacted

# CONDITIONS OBSERVED - APPLIES TO MAINTENANCE AND REPAIR

# GOOD

Dam appears to receive effective on-going maintenance and repair, and only a few minor items may need to be addressed

Dam appears to receive maintenance, but some maintenance items need to be addressed. No major repairs are required

Dam does not appear to receive adequate maintenance. One or more items needing maintenance or repair has begun to threaten the safety of the dam.

# **OVERALL CONDITIONS**

# SATISFACTORY

FULL STORAGE

attached.

The safety inspection indicates no conditions that appear to threaten the safety of the dam, and the dam is expected to perform satisfactorily under all design loading conditions. Most of the required monitoring is being performed.

# CONDITIONALLY SATISFACTORY

The safety inspection indicates symptoms of structural distress (seepage, evidence of minor displacements, etc.), which, if conditions worsen, could lead to the failure of the dam. Essential monitoring, inspection, and maintenance must be performed as a requirement for continued full storage in the reservoir.

# UNSATISFACTORY

The safety inspection indicates definite signs of structural distress (excessive seepage, cracks, slides, sinkholes, severe deterioration, etc.), which could lead to the failure of the dam if the reservoir is used to full capacity. The dam is judged unsafe for full storage of water.

# SAFE STORAGE LEVEL

# CONDITIONAL FULL STORAGE

Dam may be used to full storage if certain monitoring, maintenance, or operational conditions are met.

# RESTRICTION

Dam may not be used to full capacity, but must be operated at some reduced level in the interest of public

# HAZARD CLASSIFICATION OF DAMS

Loss of human life is expected in the event of failure of the dam, while the reservoir is at the high water line.

Dam may be used to full capacity with no conditions

# Significant hazard

Significant damage to improved property is expected in the event of failure of the dam while the reservoir is at the high water line, but no loss of human life is expected.

Loss of human life is not expected, and damage to improved property is expected to be small, in the event of failure of the dam while the reservoir is at high water

NPH hazard - No loss of life or damage to improved property, or loss of downstream resource is expected in the event of failure of the dam while the reservoir is at the high water line



# Battle Mountain San Luis Project Tailings Dam: 5/13/13 Dam Safety Inspection Report

Perry - DNR, Mark <mark.perry@state.co.us>

Mon, Jun 3, 2013 at 2:10 PM

To: Wally Erickson - DNR <wally.erickson@state.co.us>

Cc: Russ Means - DNR <russ.means@state.co.us>, Bill McCormick - DNR <bill.mccormick@state.co.us>, Craig Cotten - DNR <craig.cotten@state.co.us>

Hi Wally,

Please see the attached SEO Engineer's Inspection Report (EIR) for the subject dam safety inspection. As we discussed previously, our office is providing the EIR solely for technical support of the Division of Reclamation, Mining & Safety. We have not assigned an overall rating or a safe storage level, as the dam is an Exempt Structure per SEO Rules & Regulations. The Required Actions at the end of the report should be taken as recommendations to DRMS for consideration as part of your TR-33 dam safety effort.

It was a pleasure to meet you and join you for the inspection. I hope our participation provided value to DRMS. Please do not hesitate to contact me with questions about the attached EIR or with any other dam safety questions for the Battle Mountain San Luis project.

Best Regards, Mark

Mark A. Perry, P.E. Dam Safety Engineer, Divisions 2/3 Colorado Division of Water Resources 310 E. Abriendo Ave., Suite B Pueblo, CO 81004 719-542-3368 x2109 (office) 719-250-5606 (mobile)





Battle Mountain San Luis Tailings Dam (DAMID 240109) 2013 05 13 DamSafetyInspectionReport.pdf 1455K



Photo 1- Looking upstream at the tailings containment area from the left abutment of the main dam. During normal operations there is only a small pool of water.



Photo 2 – Dam crest looking right from the left abutment.



Photo 3 – Downstream slope looking right from the left abutment.



Photo 4 – Looking across one of two benches on the downstream slope.



Photo 5 – Foreground shows right groin where liner and erosion damage was recently repaired.
Background: seepage collection pond at the downstream toe of the main dam.



Photo 6 – South diversion ditch and drop structure inlet located on the left side (south) of the main dam.

# Battle Mountain San Luis Tailings Dam, May 13, 2013



Photo 7 – Recent erosion repairs performed around the south diversion drop structure outfall.



Photo 8 – Seepage at toe of the main dam. Majority of seepage comes through collection drain, but some seepage appears to be uncontrolled (see Photo 9).



Photo 9 – Seepage drain outfall at the downstream toe (3x12" HDPE pipes). There is reportedly a large seepage collection pipe under the dam. NOTE: Uncontrolled seepage exiting higher on the slope above the drain outfalls (red arrow).