

## 2.03.4 Identification of Interests – updated 2/20/2024

- (1) Statement of whether applicant is a corporation, partnership, single proprietorship, association or business entity:

Oxbow Mining, LLC is a Delaware limited liability company and wholly owned subsidiary of Oxbow Carbon LLC. [Note: ***Oxbow US Coal LLC merged into Oxbow Mining, LLC effective March 31, 2015, with Oxbow Mining, LLC surviving***]. All further references in this permit shall be to Oxbow Mining, LLC. Any and all other documents contained in or referenced by the permit documents as a whole that refer to Somerset Mining Co.; Pacific Basin Resources; or Oxbow Elk Creek, LLC are hereby applicable to Oxbow Mining, LLC.

- (2) The name, address, telephone number and employer identification number of the:

- (a) Permit Applicant:

Oxbow Mining, LLC.

3737 Highway 133

P.O. Box 535

Somerset, Colorado 81434

(970) 929-5122

EIN 65-1135317

MSHA ID

Sanborn Creek Mine - 05-04452

Elk Creek Mine and surface facilities: 05-04674

- (b) Applicant's resident agent:

Michael W. Ludlow, President

Oxbow Mining, LLC

3737 Hwy 133

P.O. Box 535

Somerset, Colorado 81434

- (c) Person who will pay the abandoned mine land reclamation fee:

Roberto Arevalo

Oxbow Mining, LLC

3737 Hwy 133

P.O. Box 535

(561) 907-5400

- (3) (a-c) Information for each person who owns or controls the applicant:

**Oxbow Mining, LLC**

3737 Hwy 133

P.O. Box 535

Somerset, Colorado 81434

**Officers of Oxbow Mining, LLC**

Michael W. Ludlow – Executive Vice President

Michael W. Ludlow – President

William D. Parmelee - Chief Financial Officer

Jeffrey Wadman - Senior V.P. & Chief Accounting Officer

**Date Elected**

12/14/2011

09/28/2013

01/03/2011

09/22/2008

**End Date**

09/27/2013

03/03/2014

**Officers of Oxbow Mining, LLC**

	<u>Date Elected</u>	<u>End Date</u>
Benjamin L. Klein - Chief Accounting Officer	03/03/2014	
John M. Barnhart – Vice President-Sales	04/16/2010	
Susan M. Borland – Vice President & Controller	04/22/2005	
Benjamin L. Klein – Treasurer	01/03/2011	
David W. Clark – Secretary	12/14/2011	03/11/2015
David W. Clark – Secretary	02/02/2016	
J. Michael Smith – Assistant Secretary	12/31/2002	05/24/2007
David M. Eusano - Assistant Secretary	03/19/2015	01/02/2020
Adam K. Weinstein - Assistant Secretary	02/02/2016	

Oxbow Carbon LLC owns 100% of the interest in Oxbow Mining, LLC.

**Manager of Oxbow Mining, LLC**

Michael W. Ludlow 09/28/2013

**Oxbow Carbon LLC.**

1601 Forum Place, Suite P2  
West Palm Beach, Florida 33401  
EIN 20-8709417-- Phone # 561-907-5400

**Directors of Oxbow Carbon LLC.**

	<u>Date Elected</u>	<u>End Date</u>
William I. Koch	05/08/2007	
Barry Volpert	05/08/2007	10/24/2019
Robert J. Hurst	05/08/2007	
John G. Coumantaros	03/22/2010	
Richard P. Callahan	05/08/2007	02/13/2014
Richard P. Callahan	08/14/2015	
Zachary K. Shipley	05/08/2007	02/13/2014
Thomas W. Garges, Jr.	05/08/2007	
Peter C. Morse	02/10/2016	
David A. Rosow	10/05/2015	
Robert V. Hale	05/13/2019	08/29/2023
Darla Moore	10/24/2019	
Richard F. Powers III	09/20/2023	

**Officers of Oxbow Carbon LLC.**

		<u>Date Elected</u>	<u>End Date</u>
William I. Koch	President and CEO	05/08/2007	
William D. Parmelee	CFO	01/03/2011	
Jeffrey Wadman	Chief Accounting Officer	07/06/2011	03/3/2014
Steven E. Fried	Chief Operating Officer	08/19/2012	04/17/2014
David B. Nestler	Executive Vice President	07/06/2011	09/30/2016
Charles Middleton	Senior Vice President-Tax	07/06/2011	01/06/2016
Richard P. Callahan	Secretary	05/08/2007	10/21/2013
Richard P. Callahan	Senior Counsel	08/19/2012	01/24/2014
David W. Clark	Assist. Secretary	05/08/2007	02/10/2016
David W. Clark	Secretary	02/10/2016	

**Officers of Oxbow Carbon LLC.**

		<u>Date Elected</u>	<u>End Date</u>
Benjamin L. Klein	Treasurer	01/03/2011	
Michael F. McAuliffe	General Counsel	10/21/2013	02/1/2016
Michael F. McAuliffe	Assistant Secretary	08/19/2012	10/21/2013
Eric P. Johnson	Chief Commercial Officer	08/19/2012	06/13/2016
Patrick S. Brüning	Vice President - International Business	02/01/2012	
Benjamin L. Klein	Chief Accounting Officer	03/03/2014	
Wayne Whitaker	Assistant Treasurer	02/10/2016	08/03/2020
Craig J. Cynor	Executive Vice President	06/13/2016	
Gordon K. McIntosh	Executive Vice President	06/13/2016	
Roy J. Schorsch	Executive VP- Operations	06/13/2016	

Oxbow Carbon & Minerals Holdings, Inc. owns 57.4910% of the interest in Oxbow Carbon LLC. “Crestview-Oxbow Acquisition, LLC” owns 21.7407%, with no other owners in excess of 10% ownership. Crestview-Oxbow Acquisition, LLC, a Delaware limited liability company (“Crestview”), and the Minority owners of the Company, cannot commit the financial or real property assets or working resources of Oxbow Mining, LLC nor do they control any of the Elk Creek Mine or Sanborn Creek Mine operations.

**Oxbow Carbon & Minerals Holdings, Inc.**

1601 Forum Place, Suite P2  
West Palm Beach, Florida 33401  
EIN 23-2432218 -- Phone # 561-907-5400

**Officers of Oxbow Carbon & Minerals Holdings, Inc.**

		<u>Date Elected</u>	<u>End Date</u>
William I. Koch	President	5/24/2007	
	and Chief Executive Officer	10/24/1990	
Zachary K. Shipley	Treasurer	5/24/2007	8/15/2015
Richard P. Callahan	Secretary	5/24/2007	05/07/2015
David W. Clark	Assist. Secretary	5/24/2007	05/7/2015
Pierre E. Azzi	Secretary	5/14/2015	
David A. Rosow	Executive VP	11/4/2015	
Frederick M. Bennett	Treasurer	12/17/2018	

**Directors of Oxbow Carbon & Minerals Holdings, Inc.**

		<u>Date Elected</u>	<u>End Date</u>
William I. Koch	Director	10/24/1986	
Richard P. Callahan	Director	6/30/1988	05/07/2015
Zachary K. Shipley	Director	6/30/1988	7/24/2015
David A. Rosow	Director	11/4/2015	

(3)(d-e) Not Applicable.

(4)(a-b) Information for any surface coal mining operation owned or controlled by either the applicants or by any person who owns or controls the applicant:

Oxbow Mining, LLC owns and operates the Terror Creek Loadout, a coal loadout facility located at 43440 Bowie Road, Paonia, Co. 81428. CDRMS Permit Number C-83-059, MSHA ID 05-03818, EIN 95-3820925, Ph (970) 929-5122.

*Section 2.03.4*

(5) Any changes in Sections 2.03.4(1) through (4) occurring between the date the permit transfer application is submitted and approval of the permit revision will be incorporated prior to final permit revision approval.

(6)(a) Names and address of the owners of record of the land to be affected by surface operations and facilities and every legal for equitable owner or record of the coal to be mined:

Surface: Oxbow Mining, LLC.  
3737 Hwy 133  
P. O. Box 535  
Somerset, Colorado 81434

Bureau of Land Management  
Uncompahgre Field Office  
2505 South Townsend Avenue  
Montrose, Colorado 81401

U. S. Forest Service  
GMUG National Forest  
2250 Hwy 50  
Delta, Colorado 81416

Union Pacific Railroad Company  
1416 Dodge Street - WP001  
Omaha, Nebraska 68179

Coal: Oxbow Mining, LLC.  
3737 Hwy 133  
P. O. Box 535  
Somerset, Colorado 81434

Bureau of Land Management  
Uncompahgre Field Office  
2505 South Townsend Avenue  
Montrose, Colorado 81401

(b) The holders of record of any leasehold interest in the area to be affected by surface operations and any purchaser of record under a real estate contract of the coal to be mined:

There are no current leasehold interests in the affected area.

(c) Any purchaser of record under a real estate contract of the areas to be affected by surface operations and any purchaser of record under a real estate contract of the coal to be mined:

None