

STATE OF
COLORADO

Ebert - DNR, Jared <jared.ebert@state.co.us>

New Elk Coal Company and Allegiance Coal Limited - Board Of Directors and Management

bstormes@newelkcoal.com <bstormes@newelkcoal.com>

Mon, Jun 6, 2022 at 6:55 AM

To: "Ebert - DNR, Jared" <jared.ebert@state.co.us>

Hi Jared,

Yes Larry Cook is no longer a member of the board of directors for Allegiance Coal Ltd. See attached resignation letter and current list of board of directors. Amon Mahon is not on the NEEC board of directors or Allegiance Coal Ltd board of directors, and has been removed as NECC's registered agent. Let me know if you have any more questions.

Best regards.

Bill

From: Ebert - DNR, Jared <jared.ebert@state.co.us>

Sent: Wednesday, June 1, 2022 3:06 PM

To: bstormes@newelkcoal.com

Subject: Re: New Elk Coal Company and Allegiance Coal Limited - Board Of Directors and Management

Mr. Stormes,

I am trying to understand Form 604 and how it relates to Mr. Mahon and Mr. Cook? Also, it still appears Mr. Cook is a director for Allegiance Coal Limited, is that correct?

Jared

On Thu, May 26, 2022 at 3:01 PM <bstormes@newelkcoal.com> wrote:

Hi Jared,

Please see attached.

Best regards.

Bill

Bill Stormes, P.E.

Chief Mining Engineer

New Elk Coal Company

Office 719-631-6049

Mobile 205-475-6106

bstormes@newelkcoal.com

From: Ebert - DNR, Jared <jared.ebert@state.co.us>

Sent: Wednesday, May 25, 2022 5:56 AM

To: bstormes@newelkcoal.com

Subject: Re: New Elk Coal Company and Allegiance Coal Limited - Board Of Directors and Management

Also, please include documentation that Mr. Cook is no longer on the BOD of Allegiance Coal Limited.

Jared

On Wed, May 25, 2022 at 5:54 AM Ebert - DNR, Jared <jared.ebert@state.co.us> wrote:

Thank you for the update.

On Tue, May 24, 2022 at 5:08 PM <bstormes@newelkcoal.com> wrote:

Hi Jared,

We have changed the registered agent to Randy Wiles, I am waiting for minutes showing Larry Cook has resigned from New Elk Coal Company's Board of Directors.

Regards.

Bill

From: Ebert - DNR, Jared <jared.ebert@state.co.us>

Sent: Tuesday, May 24, 2022 4:46 PM

To: bstormes@newelkcoal.com

Cc: Nick Mason <nmason@newelkcoal.com>; Cowan, Eric <Eric.Cowan@arcadis.com>; Koblitz, Jerry

<Jerry.Koblitz@arcadis.com>; Connor Drinkard - DNR <connor.drinkard@state.co.us>

Subject: Re: New Elk Coal Company and Allegiance Coal Limited - Board Of Directors and Management

Good afternoon Bill, can you provide me an update on the progress of obtaining this information?

On Fri, May 13, 2022 at 5:00 PM <bstormes@newelkcoal.com> wrote:

Hey Jared,

We will get this back asap.

Bill

From: Ebert - DNR, Jared <jared.ebert@state.co.us>

Sent: Friday, May 13, 2022 3:40 PM

To: Nick Mason <nmason@newelkcoal.com>

Cc: Cowan, Eric <Eric.Cowan@arcadis.com>; Koblitz, Jerry <Jerry.Koblitz@arcadis.com>;

bstormes@newelkcoal.com; Connor Drinkard - DNR <connor.drinkard@state.co.us>

Subject: New Elk Coal Company and Allegiance Coal Limited - Board Of Directors and Management

Hello Nick,

I am reviewing the latest PR5 adequacy response. The ownership and control information revised does not appear accurate. Can you please provide me with official documentation such as board minutes that document who the current board members/directors/managers are of New Elk Coal Company and Allegiance Coal Limited, this should include the dates each board member was appointed.

Also, I will need similar documentation, board meeting minutes, that Amon Mahon and William Larry Cook are no longer board members/directors etc. This documentation will need to include end dates for their appointments.

I need accurate ownership and control information and the documentation listed above to conduct the required Applicant Violator System check. There is some urgency in this request, could you get me this information by next week please?

Thank you,

Jared

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Jared Ebert

Senior Environmental Protection Specialist

***I am working remotely, please feel free to call my cell at (720) 413-6466**



COLORADO
**Division of Reclamation,
Mining and Safety**

Department of Natural Resources

P 303.866.3567 ext. 8120 | F 303.832.8106 |

Physical: [1313 Sherman Street, Room 215, Denver, CO 80203](#)

Mailing: DRMS Room 215, [1001 E 62nd Ave, Denver, CO 80216](#)

jared.ebert@state.co.us | <https://drms.colorado.gov/>

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2 attachments



Current Board Dir 6-5-22.pdf

75K



Larry C. Resigns.pdf

125K



ASIC
Australian Securities & Investments Commission

Forms Manager
Company Officeholders

Company: ALLEGIANCE COAL LIMITED ACN 149 490 353

Company details

Date company registered 13-04-2011
Company next review date 13-04-2023
Company type Australian Public Company
Company status Registered
Home unit company No
Superannuation trustee company No
Non profit company No

Registered office

SUITE 107 , 109 PITT STREET , SYDNEY NSW 2000

Principal place of business

SUITE 107 , 109 PITT STREET , SYDNEY NSW 2000

Officeholders

REYNOLDS, JONATHAN RICHARD

Born 12-12-1966 at JOHANNESBURG SOUTH AFRICA

48 NELSON STREET , GORDON NSW 2072

Office(s) held: Director, appointed 12-08-2016
Secretary, appointed 30-11-2016

GRAY, MARK NGATAIAWHIO WILLIAM

Born 06-08-1962 at ROTORUA NEW ZEALAND

LOT 4, VINEYARD LANE , SOUTHDOWN ESTATE , RD1 MARTINBOROUGH 5781 , NEW ZEALAND

Office(s) held: Director, appointed 29-05-2017

WALL, MATTHEW JOHN

Born 05-03-1960 at DARLINGHURST NSW

31 RADNOR ROAD , GALSTON NSW 2159

Office(s) held: Director, appointed 23-02-2022

MASON, GREGORY

Born 30-10-1957 at ASHLAND KENTUCKY UNITED STATES

1416 JOMAR DRIVE , ASHLAND KENTUCKY , 41102 , UNITED STATES

Office(s) held: Director, appointed 01-02-2021

ROMCKE, JONATHAN

Born 18-10-1960 at BOX HILL VIC

46 PERSHING STREET , KEPERRA QLD 4054

Office(s) held: Director, appointed 03-05-2022

VINING, PAUL

Born 31-08-1954 at ST LOUIS UNITED STATES

3073 ZULLA ROAD , THE PLAINS , VA 20198 , UNITED STATES

Office(s) held: Director, appointed 16-05-2022

Company share structure

Share class	Share description	Number issued	Total amount paid	Total amount unpaid
ORD	ORDINARY	389820140	157512414.81	0.00

Members

As from 1 July 2007, members information for public companies will not be recorded and provided by ASIC. This is due to the implementation of the Simpler Regulatory System Bill Package Corporations Amendment Regulations 2007 (No.5)

Document history

These are the documents most recently received by ASIC from this organisation.

Received	Number	Form	Description	Status
06-06-2022	7EBT03445	484	CHANGE TO COMPANY DETAILS	Processed - awaiting imaging
27-05-2022	7EBS75310	484	CHANGE TO COMPANY DETAILS	Processed and imaged
25-05-2022	5EGE28192	7053A	DISCLOSURE NOTICE - EX AUSTRALIAN STOCK EXCHANGE	Processed and imaged

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6 June 2022

MR LARRY COOK RETIRES FROM THE BOARD

Allegiance Coal Limited (**Allegiance**) wishes to announce that Mr. Larry Cook has stepped down from the Board of Allegiance, following his decision to retire as Technical Director at Allegiance after more than 40 years involvement in the coal mining industry.

Mr. Cook joined the Board in 2019 bringing a wealth of much needed underground coal mining experience during the technical assessment and acquisition of the New Elk coking coal mine. Mr Cook has been instrumental in guiding the Board through the acquisition and commissioning of the mine, as well as the acquisition and development of Allegiance's Alabama assets. Mr. Cook will continue to consult to the Board in the development of Allegiance's premium CSR mid-vol Short Creek underground coal mine in Alabama.

Chairman Mr. Paul Vining commented,

"I have known and worked with Larry for many decades. I regard him, as do his peers, as one the most skilled and capable underground coal mining engineers in the United States. He played a key role completing the acquisition of the New Elk mine and in transforming Allegiance from an exploration company to the producer it is today. On behalf of the Board and the company's shareholders, we thank Larry for the contribution he has made to Allegiance."

Authorised for release by Chief Executive Officer, Jon Romcke.

For more information, please contact:

Mr Jon Romcke

Chief Executive Officer

Mobile : +61 409 754333

Email : jromcke@allegiancecoal.com.au

Mr Jonathan Reynolds

Company Secretary

Mobile : +61 408 229 953

Email: jreynolds@allegiancecoal.com.au