PRRIP - ED OFFICE DRAFT 01/14/2013

For the USGS:

Chief Operating Officer/Chief Financial Officer

Nebraska Community Foundation, Inc.

PLATTE RIVER RECOVERY IMPLEMENTATION PROGRAM

First Amendment to the Agreement between the Nebraska Community Foundation, Inc. and the U.S. Geological Survey Regarding "Independent Scientific Advisory Committee"

This First Amendment to the Agreement between the Nebraska Community Foundation, Inc. ("Foundation") of Lincoln, Nebraska, representing all signatories to the Platte River Recovery Implementation Program ("Program"), and the U.S. Geological Survey ("USGS"), a bureau of the Department of Interior, is made and entered into effective on the date of signing below and the final date of this Amendment will be December 31, 2013.

The purpose of this Amendment is to:

- (1) Extend the Agreement between the Foundation and the USGS for Dr. Robert B. Jacobson's ("Consultant") service on the Program's Independent Scientific Advisory Committee ("ISAC") from the effective date of the Amendment through December 31, 2013.
- (2) To provide the Consultant with a 2013 stipend of \$26,600 in approved and available FY 2013 Program Budget Line Item ISAC-1 funds under this Amendment from the effective date of this Amendment through December 31, 2013 to provide services as outlined in **Exhibit A**. This 2013 stipend includes:
 - Three (3) ISAC meetings x three-day meetings x \$1,400 per day = \$12,600
 - Ten (10) days of additional document review and/or meeting attendance x = 1,400 per day = \$14,000

All other terms of the original Agreement remain in effect as originally written in the signed Agreement dated April 11, 2012. The following parties agree to the terms of this Amendment and the original Agreement:

Rip S. Shively	Date	
Center Director	Bute	
USGS Columbia Environmental Research Center		
For the Foundation:		
Diane M. Wilson	Date	



PLATTE RIVER RECOVERY IMPLEMENTATION PROGRAM

EXHIBIT A

PRRIP Signed 2012 Jacobson ISAC Agreement



Dr. Robert B. Jacobson U.S. Geological Survey – CERC 4200 New Haven Road Columbia, MO 65201 Nebraska Community Foundation, Inc. PO Box 83107 Lincoln, NE 68501-3107 TIN# 47-0769903

PLATTE RIVER RECOVERY IMPLEMENTATION PROGRAM

Agreement between Nebraska Community Foundation, Inc., Platte River Recovery Implementation Program, and the U.S. Geological Survey

Independent Scientific Advisory Committee

 1. Parties. This Agreement is made and entered into by and between the Nebraska Community Foundation, Inc. ("Foundation") of Lincoln, Nebraska, representing all signatories to the Platte River Recovery Implementation Program ("Program") and the U.S. Geological Survey ("USGS"), a bureau of the Department of Interior. The Parties intend that Dr. Robert B. Jacobson shall be the "Consultant" representing USGS pursuant to the terms of this Agreement. The following persons are authorized to represent the parties through this Agreement: Diane Wilson of the Foundation, Dr. Jerry Kenny of the Program, and Robert Jacobson and Rip Shively of the USGS.

2. Purpose of Agreement and Authority. The USGS has a mission to apply science to the restoration and adaptive management of impaired ecosystems. The purpose of this Agreement for the Foundation, acting as the fiscal agent for the Governance Committee (GC) of the Program, is to engage the USGS as a member of the Program's Independent Scientific Advisory Committee (ISAC) and utilize its advisory expertise on restoring impaired ecosystems. This Agreement describes a two-year (2012-2013) commitment of service on the part of the Consultant. The two-year term of service is at the discretion of the GC, and the amount of the annual stipend will be developed through the course of the Program's annual budget process and approved annually by the GC. There is a mutual benefit to both the Program and the USGS by accomplishing scientific research objectives of interest to both parties.

The authority of the USGS to participate in this Agreement is 43 USC 36c.

TERMS AND CONDITIONS.

3. <u>Term of Agreement</u>. This Agreement is effective when all parties execute it. The term of this Agreement is from the date of signing through December 31, 2013. The services to be performed under this Agreement will commence upon signing of this Agreement.

4. Payment.

- A. Stipend and Expenses. The Program agrees to pay the USGS \$24,700 in 2012 for the Consultant's stipend. This stipend will be invoiced by the USGS and paid by the Program in advance installments. The 2012 stipend includes the following:
- Three ISAC meetings x three-day meetings x \$1,300 per day = \$11,700.
- Ten days of additional document review x \$1,300 per day = \$13,000.
- The Consultant's 2013 stipend will be developed and approved by the GC in late 2012. An amendment will be used to update stipend amounts in this Agreement.

• USGS will also invoice and the Program will pay \$3,600 in advance for estimated expenses to be incurred performing the modified Scope of Work in 2012. Advance payment of estimated expenses will occur in April 2012.

B. Any advance payment of the stipend made pursuant to this Agreement shall be refunded to the extent the Consultant does not perform any part of the Scope of Work for any applicable Fiscal Year. Any advance payment for any expenses shall be refunded if the expenses are not incurred.

 C. Advance payments for the activities of the Consultant shall go to the USGS's Financial Point of Contact (FPC; identified below). The Program's Technical Point of Contact will develop and distribute to the USGS FPC forms for advance payments. These forms 106 (invoices) will be submitted to the Program's Billing Point of Contact (contact information below). Upon receiving an invoice from the Consultant or the USGS, the Program's Billing Point of Contact will advise the Foundation of approval. The Foundation will make payment of these funds directly to the USGS, also providing a notification to Consultant, within 30 days. As noted, payments to the USGS shall be in advance of services but the USGS shall provide verification in the form of receipts, wherever possible, within 30 days after the travel is completed.

D. Should USGS work begin before an advance payment is received, invoices not paid within 60 days of receipt will bear interest at the rate established by the U.S. Treasury pursuant to 31 USC 3717.

Billing Point of Contact (Program):

Dr. Jerry F. Kenny, Executive Director

Platte River Recovery Implementation Program

Headwaters Corporation

73 4111 4th Avenue, Suite 6

74 Kearney, Nebraska 68845

75 Phone: (308) 237-5728

Email: kennyj@headwaterscorp.com

5. Responsibilities of Consultant.

A. Scope of Services. The Consultant shall perform the specific services required under this Agreement in a satisfactory and proper manner as outlined below:

- AMP Reporting Session in Denver, CO on March 27-28, 2012 ISAC interaction with EDO staff, Program participants, and contractors; review and discussion of "State of the Platte" Report; review and discussion of latest drafts of AMP documents such as Synthesis Report.
- Summer workshop in Kearney, NE (June-July, 2012) field visits to implementation sites; focused discussion on Q1.5 flow issues or other topics as directed by the Program.
- Fall meeting in Denver, CO (October-December, 2012) –opportunity for ISAC to meet together to build 2012 ISAC Report to GC.
- Annual report (2012) contribute to development of 2012 ISAC report to the GC.
- Platte River caddisfly (February-June 2012) input on caddisfly and Program management actions as directed by the GC.
 - Additional document and/or issues review (2012) input on documents and/or issues as directed by the GC.
- 93 An amendment will be used to update the Scope of Services in this Agreement.



responsive to the Program and the ED Office in their requests and requirements related to the scope of

The Consultant shall be responsible and

Requests from the Program.

 C. Presentation of Information. The Consultant shall compile and present all information clearly and concisely, in a professional manner.

D. Inspection and Acceptance. All deliverables furnished by the Consultant shall be subject to rigorous review by the Program and the ED Office prior to acceptance.

6. Responsibilities of the Program.

В.

this Agreement.

- A. Designated Representative. The Executive Director of the Program shall act as the Program's administrative representative with respect to the Consultant's service to be performed under this Agreement and shall have complete authority to transmit instructions, receive information, and interpret and define the Program's policies and decisions with respect to services covered by this Agreement.
- B. Information to be Furnished to the Consultant. All information as is available to the Program and necessary for the carrying out of the Scope of Services set forth herein shall be furnished to the Consultant without charge and the ED Office shall cooperate with the Consultant in the carrying out of the project.
- C. Review of Information. The ED Office shall examine all information presented by the Consultant and shall promptly render in writing the Program's decisions pertaining thereto within specified time periods.
- **D. Provide Criteria.** The ED Office shall provide all criteria and full information regarding its requirements for the services.

7. Special Provisions.

- A. Publication. It is understood that the results of this work may be available to the Consultant for publication and use in connection with related work. Use of this work for publication and related work by the Consultant must be conducted with prior authorization from the Program's Technical Point of Contact.
- B. Publicity. Any publicity or media contact associated with the Consultant's services and the result of those services provided under this Agreement shall be the sole responsibility of the Program. Media requests of the Consultant should be directed to the Director of Outreach and Operations in the ED Office.
- C. Office Space, Equipment, and Supplies. The Consultant will supply all office space, equipment, and supplies unless other mutually accepted agreements are made.

8. General Provisions.

A. Amendments. Any changes, modifications, revisions or amendments to this Agreement which are mutually agreed upon by the parties to this Agreement shall be incorporated by written instrument, executed and signed by all parties to this Agreement.



B. Applicable Law/Venue. The construction, interpretation and enforcement of this Agreement shall be governed by applicable federal and Nebraska law. The Federal Courts of the State of Nebraska shall have jurisdiction over this Agreement and the parties.

C. Assignment/Agreement Not Used as Collateral. Neither party shall assign or otherwise transfer any of the rights or delegate any of the duties set forth in this Agreement without the prior written consent of the other party. The Consultant shall not use this Agreement, or any portion thereof, for collateral for any financial obligation, without the prior written permission of the Program.

D. Audit/Access to Records. The Program and any of its representatives shall have access to any books, documents, papers, and records of the Consultant which are pertinent to this Agreement. The Consultant shall, immediately upon receiving written instruction from the Program, provide to any independent auditor, accountant, or accounting firm, all books, documents, papers and records of the Consultant which are pertinent to this Agreement. The Consultant shall cooperate fully with any such independent auditor, accountant, or accounting firm, during the entire course of any audit authorized by the Program.

E. Availability of Funds. Each payment obligation of the Program is conditioned upon the availability of funds and continuation of the Platte River Recovery Implementation Program. If funds are not allocated and available for the continuance of the services performed by the Consultant, the Agreement may be terminated by the Program at the end of the period for which the funds are available. The Program shall notify the Consultant at the earliest possible time of the services which will or may be affected by a shortage of funds. No penalty shall accrue to the Program in the event this provision is exercised, and the Program shall not be obligated or liable for any future payments due or for any damages as a result of termination under this section. This provision shall not be construed to permit the Program to terminate this Agreement to acquire similar services from another party.

F. Confidentiality of Information. All documents, data compilations, reports, computer programs, photographs, and any other work provided to the Consultant by the Foundation in the course of the Agreement shall be kept confidential by the Consultant unless written permission is granted by the Program for its release. The parties understand that the USGS, as a federal entity, will furnish otherwise confidential information in response to Federal legal requirements such as the Freedom of Information Act where the information is not protectable by an Exemption or otherwise.

G. Conflicts of Interest

 (i) Consultant shall not engage in providing consultation or representation of clients, agencies or firms which may constitute a conflict of interest which results in a disadvantage to the Program or a disclosure which would adversely affect the interests of the Program. Consultant shall notify the Program of any potential or actual conflicts of interest arising during the course of the Consultant's performance under this Agreement. This Agreement may be terminated in the event a conflict of interest arises. Termination of the Agreement will be subject to a mutual settlement of accounts. In the event the Agreement is terminated under this provision, the Consultant shall take steps to insure that the file, evidence, evaluation and data are provided to the Program or its designee. This does not prohibit or affect the Consultant's ability to engage in consultations, evaluations or representation under agreement with other agencies, firms, facilities, or attorneys so long as no conflict exists.



but is not necessarily limited to, representing a client in an adversarial proceeding against the Platte River Recovery Implementation Program, its signatories, boards, commissions or initiating suits in equity including injunctions, declaratory judgments, writs of prohibition or *quo warranto*.

H. Entirety of Agreement. This Agreement, consisting of <u>seven (7)</u> pages, represents the entire and integrated Agreement between the parties and supersedes all prior negotiations, representations, and agreements, whether written or oral.

I. Force Majeure. Neither party shall be liable for failure to perform under this Agreement if such failure to perform arises out of causes beyond the control and without the fault or negligence of the nonperforming party. Such causes may include, but are not limited to, acts of God or the public enemy, fires, floods, epidemics, quarantine restrictions, freight embargoes, and unusually severe weather. This provision shall become effective only if the party failing to perform immediately notifies the other party of the extent and nature of the problem, limits delay in performance to that required by the event, and takes all reasonable steps to minimize delays. This provision shall not be effective unless the failure to perform is beyond the control and without the fault or negligence of the nonperforming party.

J. Independent Party. The Foundation and the USGS are independent parties. As a Federal bureau, the USGS is not a taxable entity, nor is it eligible for any benefits provided by the Foundation other than payment for its services.

K. Notices. All notices arising out of, or from, the provisions of this Agreement shall be in writing and given to the parties at the address provided under this Agreement, either by regular mail, e-mail, or delivery in person.

L. Ownership of Documents/Work Product/Materials. All documents, data compilations, reports, computer models, photographs, and any other work produced by the Consultant in the performance of this Agreement may be published by the USGS and used in related work as indicated in Paragraph 7A, above. The Program's Technical Point of Contact will be provided the opportunity, prior to publication, to review and comment on any reports or materials resulting from work covered by this agreement.

M. Patent or Copyright Protection. The Consultant recognizes that certain proprietary matters or techniques may be subject to patent, trademark, copyright, license or other similar restrictions, and pledges that no work performed by the Consultant or its contractors will violate any such restriction.

O. Termination of Agreement. This Agreement may be terminated, without cause, by the Program upon fifteen (15) days written notice. This Agreement may be terminated immediately for cause if the Consultant fails to perform in accordance with the terms of this Agreement. The Consultant shall likewise have the right to unilaterally terminate the agreement for good cause.

P. Third Party Beneficiary Rights. The parties do not intend to create in any other individual or entity the status of third party beneficiary, and this Agreement shall not be construed so as to create such status. The rights, duties and obligations contained in this Agreement shall operate only between the parties to this Agreement, and shall inure solely to the benefit of the parties to this Agreement. The provisions of this Agreement are intended only to assist the parties in determining and performing their obligations under this Agreement.



239	Q. Time. The Consultant	agrees to adhere to Agreement schedules for
240	accomplishing the tasks called for under the Agreeme	
241		
242		es of paragraphs are for reference only, and shall not
243	be used to construe the language in this Agreement.	
244		
245		breach of any term or condition in this Agreement
246	shall not be deemed a waiver of any prior or subseque	ent breach.
247	O Contrata	
248	9. <u>Contacts</u> .	
249 250	Administrative Point of Contact (Foundation):	Admin. Point of Contact (Program):
250 251	Diane M. Wilson	Dr. Jerry F. Kenny, Executive Director
252	Chief Financial and Administrative Officer	Platte River Recovery Implementation Prog.
253	Nebraska Community Foundation	Headwaters Corporation
254	PO Box 83107	4111 4 th Avenue, Suite 6
255	Lincoln, Nebraska 68501-3107	Kearney, Nebraska 68845
256	Phone: (402) 323-7330	Phone: (308) 237-5728
257	Fax: (402) 323-7349	Fax: (308) 237-4651
258	Email: dwilson@nebcommfound.org	Email: kennyj@headwaterscorp.com
259	Zamani, <u>samananan</u> ang	
260	Technical Point of Contact (Program):	Media Point of Contact (Program):
261	Chadwin B. Smith, Director of Natural Resources	Dr. Bridget Barron, Director of Outreach
262	Platte River Recovery Implementation Prog.	Platte River Recovery Implementation Prog.
263	Headwaters Corporation	Headwaters Corporation
264	4111 4 th Avenue, Suite 6	4111 4 th Avenue, Suite 6
265	Kearney, Nebraska 68845	Kearney, Nebraska 68845
266	Phone: (308) 237-5728	Phone: (308) 237-5728
267	Cell: (402) 432-7950	Fax: (308) 237-4651
268	Email: smithc@headwaterscorp.com	Email: <u>barronb@headwaterscorp.com</u>
269		
270	Technical Point of Contact (USGS):	Administrative Point of Contact (USGS):
271	Dr. Robert B. Jacobson, Research Hydrologist	Ms. Lori D. Buitink
272	U.S. Geological Survey	U.S. Geological Survey
273	4200 New Haven Road	4200 New Haven Road
274	Columbia, MO 65201	Columbia, MO 65201
275	Phone: (573) 876-1844	Phone: (573) 876-1892
276	Email: rjacobson@usgs.gov	Email: <u>lbuitink@usgs.gov</u>
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278		
279		
280		



287	10. Signatures. By signing t	his Agreement, the parties certify that they have read and
288	understood it, that they agree to be bound by	the terms of this Agreement, and that they have the authority
289	to sign it.	
290	,	
291		
292	NEBRASKA COMMUNITY FOUNDAT	ION
293 294	NEBRASKA COMMUNITI POUNDAT	ION
295		
296	11	4/11/2012
297 298	Diane M. Wilson	Date
299	Chief Financial and Administrative Officer	
300		
301		
302		
303		YEY, COLUMBIA ENVIRONMENTAL RESEARCH
304 305	CENTER	
305 306		
307 308	-3550/	4-9-12
309	Rip S. Shively	Date