



COLORADO WATER CONSERVATION BOARD



WATER SUPPLY RESERVE ACCOUNT APPLICATION FORM

Today's Date: January 4, 2016

Phase 3 of the Southwest Basin Roundtable's Implementation Plan:
Implementation and Outreach

Name of Water Activity/Project

San Juan Resource Conservation & Development Council

Name of Applicant

Southwest Basin
Roundtable

Amount from Statewide Account:

\$43,781

Amount from Basin Account(s):

\$87,562

Total WSRA Funds Requested:

\$131,343

Approving Basin Roundtable(s)

(If multiple basins specify amounts in parentheses.)

FEIN: 74-2408579

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Required Exhibits

- A. Statement of Work, Budget, and Schedule
- B. Project Map
- C. As Needed (i.e. letters of support, photos, maps, etc.)

Appendices – Reference Material

- 1. Program Information
- 2. Insurance Requirements
- 3. WSRA Standard Contract Information (Required for Projects Over \$100,000)
- 4. W-9 Form (Required for All Projects Prior to Contracting)

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Instructions

To receive funding from the Water Supply Reserve Account (WSRA), a proposed water activity must be approved by the local Basin Roundtable **AND** the Colorado Water Conservation Board (CWCBC). The process for Basin Roundtable consideration and approval is outlined in materials in Appendix 1.

Once approved by the local Basin Roundtable, the applicant should submit this application **with a detailed statement of work including budget and schedule as Exhibit A** to CWCBC staff by the application deadline.

WSRA applications are due with the roundtable letter of support 60 calendar days prior to the bi-monthly Board meeting at which it will be considered. Board meetings are held in January, March, May, July, September, and November. Meeting details, including scheduled dates, agendas, etc. are posted on the CWCBC website at: <http://cwcb.state.co.us> Applications to the WSRA Basin Account are considered at every board meeting, while applications to the WSRA Statewide Account are only considered at the March and September board meetings.

When completing this application, the applicant should refer to the WSRA Criteria and Guidelines available at: <http://cwcb.state.co.us/LoansGrants/water-supply-reserve-account-grants/Documents/WSRACriteriaGuidelines.pdf>. In addition, the applicant should also refer to the [Supplemental Scoring Matrix](#) applied to Evaluation Criteria Tiers 1-3 for Statewide Account requests.

The application, statement of work, budget, and schedule **must be submitted in electronic format** (Microsoft Word or text-enabled PDF are preferred) and can be emailed or mailed on a disk to:

Craig Godbout - WSRA Application
Colorado Water Conservation Board
1313 Sherman St., Room 721
Denver, CO 80203
Craig.godbout@state.co.us

If you have questions or need additional assistance, please contact Craig Godbout at: 303-866-3441 x3210 or craig.godbout@state.co.us.

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Part I. - Description of the Applicant (Project Sponsor or Owner);

1.	Applicant Name(s):	San Juan Resource Conservation & Development Council		
	Mailing address:	P.O. Box 1006 Durango, CO 81302		
	FEIN #:	74-2408579		
	Primary Contact:	Pam Starr	Position/Title:	Executive Director
	Email:	sjrcd@hotmail.com		
	Phone Numbers:	Cell:	Office:	970-382-9371
	Alternate Contact:	Carrie Lile	Position/Title:	Professional Engineer
	Email:	carrie@durangowater.com		
	Phone Numbers:	Cell:	Office:	970-259-5322

2. Eligible entities for WSRA funds include the following. What type of entity is the Applicant?

- ☐ Public (Government) – municipalities, enterprises, counties, and State of Colorado agencies. Federal agencies are encouraged to work with local entities and the local entity should be the grant recipient. Federal agencies are eligible, but only if they can make a compelling case for why a local partner cannot be the grant recipient.
- ☐ Public (Districts) – authorities, Title 32/special districts, (conservancy, conservation, and irrigation districts), and water activity enterprises.
- ☐ Private Incorporated – mutual ditch companies, homeowners associations, corporations.
- ☐ Private individuals, partnerships, and sole proprietors are eligible for funding from the Basin Accounts but not for funding from the Statewide Account.
- ☒ Non-governmental organizations – broadly defined as any organization that is not part of the government.

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3. Provide a brief description of your organization

The Resource Conservation and Development Program was established under the authority of the Food and Agriculture Act of 1962 to assist multi-county areas in enhancing conservation, water quality, wildlife habitat, and rural development. Work in each area was coordinated by a council, which operated as a sponsorship-based nonprofit led by volunteers. The San Juan RC&D Council, serving eight counties in southwest Colorado, was established in 1972 for the purpose of helping all the residents of Southwest Colorado to use, protect and improve natural, cultural, historic and economic resources. Originally, the responsibility for the administration of this nation-wide program of RC&D's resided within the USDA's Natural Resource Conservation Service. The NRCS provided basic operating support for the Councils, including an executive director. In 2011, federal funding for the RC&D program was eliminated and the individual RC&D Councils were left alone to sink or swim.

For the first time in history, RC&D Councils, across the country are functioning without the direct support of the NRCS. Many have closed their doors. Though this cut in federal funding means a substantially smaller budget, the San Juan RC&D continues to actively work toward our vision: promoting sustainable communities and improving the quality of life through economic development and the conservation of natural resources. We help local groups realize their goals by providing support and sponsorship, including administrative support and fiscal management for those who do not have the capacity to pursue their own non-profit status, grant research, proposal writing and review, grant administration, and website design and development. Our Council members form partnerships with communities and organizations to foster water conservation, land conservation, water management, and community and economic development. The San Juan RC&D looks back on a proud history of successful projects and envisions a future of developing new partnerships and continuing collaboration with our old partners.

4. If the Contracting Entity is different then the Applicant (Project Sponsor or Owner) please describe the Contracting Entity here.

The contracting entity and applicant are both San Juan Resource Conservation and Development Council.

5. Successful applicants will have to execute a contract with the CWCB prior to beginning work on the portion of the project funded by the WSRA grant. In order to expedite the contracting process the CWCB has established a standard contract with provisions the applicant must adhere to. A link to this standard contract is included in Appendix 3. Please review this contract and check the appropriate box.

☒ The Applicant will be able to contract with the CWCB using the Standard Contract

☐ The Applicant has reviewed the standard contract and has some questions/issues/concerns. Please be aware that any deviation from the standard contract could result in a significant delay between grant approval and the funds being available.

6. The Tax Payer Bill of Rights (TABOR) may limit the amount of grant money an entity can receive. Please

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describe any relevant TABOR issues that may affect the applicant.

Not applicable.

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Part II. - Description of the Water Activity/Project

1. What is the primary purpose of this grant application? (Please check only one)

☐ Nonconsumptive (Environmental or Recreational)

☐ Agricultural

☐ Municipal/Industrial

☐ Needs Assessment

☒ Education

☒ Other Explain:

Implementation of the Southwest Basin Implementation Plan, as described in the Plan.

2. If you feel this project addresses multiple purposes please explain.

This process will address multiple purposes. The primary goal of the process is to conduct and implement educational outreach efforts surrounding the Southwest Basin Implementation Plan and the Colorado Water Plan throughout the nine sub-basins in southwest Colorado. In addition to these efforts, the consultants will continue to update the Southwest Basin Implementation Plan, as directed by the Roundtable, to warrant it's "living document" status while providing technical staff for the Roundtable during the SWSI 2016 process.

3. Is this project primarily a study or implementation of a water activity/project? (Please check only one)

☐ Study ☒ Implementation

4. To catalog measurable results achieved with WSRA funds can you provide any of the following numbers?

<input type="text" value="0"/>	New Storage Created (acre-feet)
<input type="text" value="0"/>	New Annual Water Supplies Developed, Consumptive or Nonconsumptive (acre-feet)
<input type="text" value="0"/>	Existing Storage Preserved or Enhanced (acre-feet)
<input type="text" value="0"/>	Length of Stream Restored or Protected (linear feet)
<input type="text" value="0"/>	Length of Pipe/Canal Built or Improved (linear feet)
<input type="text" value="0"/>	Efficiency Savings (acre-feet/year OR dollars/year – circle one)
<input type="text" value="0"/>	Area of Restored or Preserved Habitat (acres)
<input type="text" value="0"/>	Other -- Explain: <div></div>

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4. To help us map WSRA projects please include a map (Exhibit B) and provide the general coordinates below:

Latitude:

n/a; entire
Southwest Basin

Longitude:

n/a; entire
Southwest Basin

5. Please provide an overview/summary of the proposed water activity (no more than one page). Include a description of the overall water activity and specifically what the WSRA funding will be used for. A full **Statement of Work** with a detailed budget and schedule is required as **Exhibit A** of this application.

Phase 3 of the Southwest Roundtable Basin Implementation Plan will build on past work completed during the first and second phases of the planning project associated with Colorado Water Plan (CWP). The focus of phase 1 and 2 was to engage local technical planning in an effort to provide coordination between the Roundtable's sub-basins, the Roundtable, Colorado Water Conservation Board and other state agencies. As well as providing up-to-date information necessary to produce a timely and useful Basin Implementation Plan (BIP) that was grounded in the needs, values and gaps of the Roundtable's sub-basins. The BIP identifies ways in which the Roundtable, working with the area's sub-basins, intends to close the existing consumptive and non-consumptive water supply gaps through implementation of identified projects and processes, as well as education and outreach efforts. Phase 3 will be guided by the goals and measurable outcomes identified in the BIP to implement specific tasks, while providing education and outreach efforts regarding the BIP and CWP to best inform local residents of these planning and implementation efforts now and into the future.

The objectives of Phase 3 are to provide education and implement actions identified in the BIP while working towards the BIP goals. The first objective is to participate and support implementation of the CWP and BIP at a sub-basin and statewide level. The second objectives is to provide technical support for the Roundtable.

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Part III. – Threshold and Evaluation Criteria

1. Describe how the water activity meets these **Threshold Criteria**. (Detailed in Part 3 of the Water Supply Reserve Account Criteria and Guidelines.)
 - a) The water activity is consistent with Section 37-75-102 Colorado Revised Statutes.¹

The project is a component of the BIP which is in part a component of the CWP. This project will continue to pursue the education and implementation actions as outlined in the BIP. The BIP was finalized by the Roundtable in April of 2015.

- b) The water activity underwent an evaluation and approval process and was approved by the Basin Roundtable (BRT) and the application includes a description of the results of the BRT's evaluation and approval of the activity. At a minimum, the description must include the level of agreement reached by the roundtable, including any minority opinion(s) if there was not general agreement for the activity. The description must also include reasons why general agreement was not reached (if it was not), including who opposed the activity and why they opposed it. Note- If this information is included in the letter from the roundtable chair simply reference that letter.

The information requested is included in the letter from the Roundtable chair.

¹ 37-75-102. Water rights - protections. (1) It is the policy of the General Assembly that the current system of allocating water within Colorado shall not be superseded, abrogated, or otherwise impaired by this article. Nothing in this article shall be interpreted to repeal or in any manner amend the existing water rights adjudication system. The General Assembly affirms the state constitution's recognition of water rights as a private usufructuary property right, and this article is not intended to restrict the ability of the holder of a water right to use or to dispose of that water right in any manner permitted under Colorado law. (2) The General Assembly affirms the protections for contractual and property rights recognized by the contract and takings protections under the state constitution and related statutes. This article shall not be implemented in any way that would diminish, impair, or cause injury to any property or contractual right created by intergovernmental agreements, contracts, stipulations among parties to water cases, terms and conditions in water decrees, or any other similar document related to the allocation or use of water. This article shall not be construed to supersede, abrogate, or cause injury to vested water rights or decreed conditional water rights. The General Assembly affirms that this article does not impair, limit, or otherwise affect the rights of persons or entities to enter into agreements, contracts, or memoranda of understanding with other persons or entities relating to the appropriation, movement, or use of water under other provisions of law.

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- c) The water activity meets the provisions of Section 37-75-104(2), Colorado Revised Statutes.² The Basin Roundtable Chairs shall include in their approval letters for particular WSRA grant applications a description of how the water activity will assist in meeting the water supply needs identified in the basin roundtable's consumptive and/or non-consumptive needs assessments.

The information requested is included in the letter from the Roundtable chair.

- d) Matching Requirement: For requests from the **Statewide Fund**, the applicants will be required to demonstrate a **25 percent** (or greater) match of the total grant request from the other sources, including by not limited to Basin Funds. A minimum match of 5% of the total grant amount shall be from Basin funds. A minimum match of 5% of the total grant amount must come from the applicant or 3rd party sources. Sources of matching funds include but are not limited to Basin Funds, in-kind services, funding from other sources, and/or direct cash match. Past expenditures directly related to the project may be considered as matching funds if the expenditures occurred within 9 months of the date the contract or purchase order between the applicant and the State of Colorado is executed. Please describe the source(s) of matching funds. (NOTE: These matching funds should also be reflected in your Detailed Budget in **Exhibit A** of this application)

San Juan Resource Conservation and Development Council is requesting 2/3 of total proposed budget from the Basin Fund account. The remaining 1/3 is requested from the Statewide Fund account.

² 37-75-104 (2) (c). Using data and information from the Statewide Water Supply Initiative and other appropriate sources and in cooperation with the on-going Statewide Water Supply Initiative, develop a basin-wide consumptive and nonconsumptive water supply needs assessment, conduct an analysis of available unappropriated waters within the basin, and propose projects or methods, both structural and nonstructural, for meeting those needs and utilizing those unappropriated waters where appropriate. Basin Roundtables shall actively seek the input and advice of affected local governments, water providers, and other interested stakeholders and persons in establishing its needs assessment, and shall propose projects or methods for meeting those needs. Recommendations from this assessment shall be forwarded to the Interbasin Compact Committee and other basin roundtables for analysis and consideration after the General Assembly has approved the Interbasin Compact Charter.

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2. For Applications that include a request for funds from the **Statewide Account**, describe how the water activity/project meets all applicable **Evaluation Criteria**. (Detailed in Part 3 of the Water Supply Reserve Account Criteria and Guidelines and repeated below.) Projects will be assessed on how well they meet the Evaluation Criteria. **Please attach additional pages as necessary.**

Evaluation Criteria – the following criteria will be utilized to further evaluate the merits of the water activity proposed for funding from the Statewide Account. In evaluation of proposed water activities, preference will be given to projects that meet one or more criteria from each of the three “tiers” or categories. Each “tier” is grouped in level of importance. For instance, projects that meet Tier 1 criteria will outweigh projects that only meet Tier 3 criteria. The applicant should also refer to the Supplemental Scoring Matrix applied to Evaluation Criteria Tiers 1-3 for Statewide Account requests. WSRA grant requests for projects that may qualify for loans through the CWCB loan program will receive preference in the Statewide Evaluation Criteria if the grant request is part of a CWCB loan/WSRA grant package. For these CWCB loan/WSRA grant packages, the applicant must have a CWCB loan/WSRA grant ratio of 1:1 or higher. Preference will be given to those with a higher loan/grant ratio.

Tier 1: Promoting Collaboration/Cooperation and Meeting Water Management Goals and Identified Water Needs

- a. The water activity addresses multiple needs or issues, including consumptive and/or non-consumptive needs, or the needs and issues of multiple interests or multiple basins. This can be demonstrated by obtaining letters of support from other basin roundtables (in addition to an approval letter from the sponsoring basin).
- b. The number and types of entities represented in the application and the degree to which the activity will promote cooperation and collaboration among traditional consumptive water interests and/or non-consumptive interests, and if applicable, the degree to which the water activity is effective in addressing intrabasin or interbasin needs or issues.
- c. The water activity helps implement projects and processes identified as helping meet Colorado’s future water needs, and/or addresses the gap areas between available water supply and future need as identified in SWSI or a roundtable’s basin-wide water needs assessment.

Tier 2: Facilitating Water Activity Implementation

- d. Funding from this Account will reduce the uncertainty that the water activity will be implemented. For this criterion the applicant should discuss how receiving funding from the Account will make a significant difference in the implementation of the water activity (i.e., how will receiving funding enable the water activity to move forward or the inability obtaining funding elsewhere).
- e. The amount of matching funds provided by the applicant via direct contributions, demonstrable in-kind contributions, and/or other sources demonstrates a significant & appropriate commitment to the project.

Tier 3: The Water Activity Addresses Other Issues of Statewide Value and Maximizes Benefits

- f. The water activity helps sustain agriculture & open space, or meets environmental or recreational needs.
- g. The water activity assists in the administration of compact-entitled waters or addresses problems related to compact entitled waters and compact compliance and the degree to which the activity promotes maximum utilization of state waters.
- h. The water activity assists in the recovery of threatened and endangered wildlife species or Colorado State species of concern.

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- i. The water activity provides a high level of benefit to Colorado in relationship to the amount of funds requested.
 - j. The water activity is complimentary to or assists in the implementation of other CWCB programs.
- Continued: Explanation of how the water activity/project meets all applicable **Evaluation Criteria**.

Please attach additional pages as necessary.

San Juan Resource Conservation and Development Council is requesting 2/3 of total proposed budget from the Basin Fund account. The remaining 1/3 is requested from the Statewide Fund account.

Tier 1: Promoting Collaboration/Cooperation and Meeting Water Management Goals and Identified Water Needs

Phase 1 and 2 of the planning grants for the Southwest BIP have been completed while phase 3 will build on this work. The finalized BIP outlines goals and measurable outcomes as identified by the Roundtable participants. The BIP identifies specific outreach efforts as measurable outcomes while the CWP has identified these outreach efforts as action items. Phase 3 will focus on educating and implementing actions as they are outlined in the BIP and/or CWP. The water activities will be to conduct outreach from formal meeting settings to individual conversations to educate the public on the BIP and CWP. The outreach efforts will include collaboration and coordination with Roundtable participants, water entities, and local residents. All water interests, consumptive and non-consumptive, will be represented and presented as equal in need and value.

Tier 2: Facilitating Water Activity Implementation

Phase 3 is requesting that 1/3 of the proposed budget be funded by the statewide account. This phase will not only include outreach efforts surrounding the BIP but also outreach efforts as they relate to the CWP. Pursuing education and implementing actions are goals of both plans and have been widely scrutinized as vital components of said plans. Funds committed by the Roundtable will be 2/3 of the proposed budget.

Tier 3. The Water Activity Addresses Other Issues of Statewide Value and Maximizes Benefits

This grant is for the continued work related to the BIP which is a component of the CWP. Educating the public on water needs, values, and local water related activities is an imperative undertaking necessary to implement these plans. This water activity is complimentary to other CWCB products and programs by providing local outreach efforts surrounding statewide topics and CWP.

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Part IV. – Required Supporting Material

1. **Water Rights, Availability, and Sustainability** – This information is needed to assess the viability of the water project or activity. Please provide a description of the water supply source to be utilized, or the water body to be affected by, the water activity. This should include a description of applicable water rights, and water rights issues, and the name/location of water bodies affected by the water activity.

Phase 3 for implementing the BIP will not have any physical impacts on water rights, availability, or sustainability. This phase is focused on education and implementation of the BIP; this phase is more of a process than an on the ground project accomplished by construction.

2. Please provide a brief narrative of any related studies or permitting issues.

The BIP was completed by the same consulting team pursuing funding for Phase 3. The BIP, along with the CWP and other Roundtable products, will be used exclusively when educating the public.

3. Statement of Work, Detailed Budget, and Project Schedule

The statement of work will form the basis for the contract between the Applicant and the State of Colorado. In short, the Applicant is agreeing to undertake the work for the compensation outlined in the statement of work and budget, and in return, the State of Colorado is receiving the deliverables/products specified. **Please note that costs incurred prior to execution of a contract or purchase order are not subject to reimbursement.** All WSRA funds are disbursed on a reimbursement basis after review invoices and appropriate backup material.

Please provide a detailed statement of work using the template in Exhibit A. Additional sections or modifications may be included as necessary. Please define all acronyms and include page numbers.

Please see attached Exhibit A.

REPORTING AND FINAL DELIVERABLE

Reporting: The applicant shall provide the CWCB a progress report every 6 months, beginning from the date of the executed contract. The progress report shall describe the completion or partial completion of the tasks identified in the statement of work including a description of any major issues that have occurred and any corrective action taken to address these issues.

Final Deliverable: At completion of the project, the applicant shall provide the CWCB a final report that summarizes the project and documents how the project was completed. This report may contain photographs, summaries of meetings and engineering reports/designs.

PAYMENT

Payment will be made based on actual expenditures and invoicing by the applicant. Invoices from any other entity (i.e. subcontractors) cannot be processed by the State. The request for payment must include a description of the work accomplished by major task, and estimate of the percent completion for individual tasks and the entire water activity in relation to the percentage of budget spent, identification of any major issues and proposed or implemented corrective actions. The last 10 percent of the entire water activity budget will be withheld until final project/water activity documentation is completed. All products, data and information developed as a result of this grant must be provided to the CWCB in hard copy and electronic format as part of the project documentation. This information will in turn be made widely available to Basin Roundtables and the general public and help promote the development of a common technical platform.

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The above statements are true to the best of my knowledge:

Signature of Applicant: *sent via email*



Print Applicant's Name: Pam Starr

Project Title: Phase 3 of the Southwest Basin Roundtable's Implementation Plan: Implementation and Education

Return an electronic version (hardcopy may also be submitted) of this application to:

Craig Godbout – WSRA Application
Colorado Water Conservation Board
1313 Sherman St., Room 721
Denver, CO 80203
303-866-3441, ext. 3210 (office)
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