

# STATE OF COLORADO

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## Colorado Water Conservation Board Department of Natural Resources

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February 10, 2014

Colorado River Water Conservation District  
Mr. Dan Birch, Manager  
P.O. Box 1120  
Glenwood Springs, CO 81602

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John W. Hickenlooper  
Governor

Mike King  
DNR Executive Director

James Eklund  
CWCB Director

**RE: Notice to Proceed - WSRA Grant – Yampa/White Basin Implementation Plan**

Dear Dan,

This letter is to inform you that the contract to assist in the Yampa/White Basin Implementation Plan in the Yampa River Basin was signed on January 6, 2014. The original contract and Exhibits will be mailed to you.

With the executed contract, you are now able to proceed with the project and invoice the State of Colorado for costs incurred through December 31, 2014. Upon receipt of your invoice(s), the State of Colorado will provide payment no later than 45 days. I wish you much success in your project.

If you have any questions or concerns regarding the project, please contact me.

Sincerely,

//s//

**Brent Newman, Project Manager**  
**Colorado Water Conservation Board**  
**Water Supply Planning Section**  
1580 Logan Street, Suite 200  
Denver CO 80203  
(303) 866-3441 x3222  
[brent.newman@state.co.us](mailto:brent.newman@state.co.us)

**STATE OF COLORADO**  
**Colorado Water Conservation Board**  
**Grant Agreement**  
**with**  
**Colorado River Water Conservation District,**  
**acting by and through its**  
**Colorado River Water Projects Enterprise**  
**Contract Number C150547**

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## **1. PARTIES**

This Grant Agreement (hereinafter called “Grant”) is entered into by and between Colorado River Water Conservation District, acting by and through its Colorado River Water Projects Enterprise (hereinafter called “Grantee”), and the STATE OF COLORADO acting by and through the Department of Natural Resources, Colorado Water Conservation Board (hereinafter called the “State or CWCB”).

## **2. EFFECTIVE DATE AND NOTICE OF NONLIABILITY.**

This Grant shall not be effective or enforceable until it is approved and signed by the Colorado State Controller or designee (hereinafter called the “Effective Date”). The State shall not be liable to pay or reimburse Grantee for any performance hereunder, including, but not limited to costs or expenses incurred, or be bound by any provision hereof prior to the Effective Date.

## **3. RECITALS**

### **A. Authority, Appropriation, And Approval**

Authority for the agency entering into this Contract arises from Colorado Revised Statutes (CRS) 39-29-109(2)(c), 37-75-104(2)(c) and 37-75-102 et al., and Senate Bill 06-179 adopted by the 2006 General

Assembly, and a sufficient unencumbered balance thereof remains available for payment. Required approvals, clearance and coordination have been accomplished from and with appropriate agencies.

**B. Consideration**

The Parties acknowledge that the mutual promises and covenants contained herein and other good and valuable consideration are sufficient and adequate to support this Grant.

**C. Purpose**

The Water Supply Reserve Account provides money for grants and loans to complete water activities, which are broadly defined and include water supply and environmental projects and/or studies. This Grant is will be expended to draft a Yampa/White Basin Implementation Plan that conforms to the Basin Implementation Plan Guidance Document to include Sections 1-6 on behalf of the Yampa/White/Green River Basin Roundtable.

**D. References**

All references in this Grant to sections (whether spelled out or using the § symbol), subsections, exhibits or other attachments, are references to sections, subsections, exhibits or other attachments contained herein or incorporated as a part hereof, unless otherwise noted.

**4. DEFINITIONS**

The following terms as used herein shall be construed and interpreted as follows:

**A. Budget**

“Budget” means the budget for the Work described in **Exhibit A**.

**B. Evaluation**

“Evaluation” means the process of examining Grantee’s Work and rating it based on criteria established in §6 and **Exhibit A**.

**C. Exhibits and other Attachments**

The following are attached hereto and incorporated by reference herein: **Exhibit A and B**.

**D. Goods**

“Goods” means tangible material acquired, produced, or delivered by Grantee either separately or in conjunction with the Services Grantee renders hereunder.

**E. Grant**

“Grant” means this Grant, its terms and conditions, attached exhibits, documents incorporated by reference under the terms of this Grant, and any future modifying agreements, exhibits, attachments or references incorporated herein pursuant to Colorado State law, Fiscal Rules, and State Controller Policies.

**F. Grant Funds**

“Grant Funds” means available funds payable by the State to Grantee pursuant to this Grant.

**G. Party or Parties**

“Party” means the State or Grantee and “Parties” means both the State and Grantee.

**H. Program**

“Program” means the Water Supply Reserve Account grant program that provides the funding for this Grant.

**I. Review**

“Review” means examining Grantee’s Work to ensure that it is adequate, accurate, correct and in accordance with the criteria established in §6 and **Exhibit A and B**.

**J. Services**

“Services” means the required services to be performed by Grantee pursuant to this Grant.

**K. Sub-grantee**

“Sub-grantee” means third-parties, if any, engaged by Grantee to aid in performance of its obligations.

**L. Work**

“Work” means the tasks and activities Grantee is required to perform to fulfill its obligations under this Grant and **Exhibit A and B**, including the performance of the Services and delivery of the Goods.

**M. Work Product**

“Work Product” means the tangible or intangible results of Grantee’s Work, including, but not limited to, software, research, reports, studies, data, photographs, negatives or other finished or unfinished documents, drawings, models, surveys, maps, materials, or work product of any type, including drafts.

## **5. TERM and EARLY TERMINATION.**

### **A. Initial Term-Work Commencement**

The Parties respective performances under this Grant shall commence on the date the Agreement is signed by the State Controller or delegate (Effective Date). The termination date is according to **Exhibit A-Attachment B (Schedule)** unless sooner terminated or further extended as specified elsewhere herein. herein.

### **B. Two Month Extension**

The State, at its sole discretion upon written notice to Grantee as provided in **§16**, may unilaterally extend the term of this Grant for a period not to exceed two months if the Parties are negotiating a replacement Grant (and not merely seeking a term extension) at or near the end of any initial term or any extension thereof. The provisions of this Grant in effect when such notice is given, including, but not limited to prices, rates, and delivery requirements, shall remain in effect during the two month extension. The two-month extension shall immediately terminate when and if a replacement Grant is approved and signed by the Colorado State Controller.

## **6. STATEMENT OF WORK**

### **A. Completion**

Grantee shall complete the Work and its other obligations as described herein and in the Grant Exhibits. The State shall not be liable to compensate Grantee for any Work performed prior to the Effective Date or after the termination of this Grant.

### **B. Goods and Services**

Grantee shall procure Goods and Services necessary to complete the Work. Such procurement shall be accomplished using the Grant Funds and shall not increase the maximum amount payable hereunder by the State.

### **C. Employees**

All persons employed by Grantee or Sub-grantees shall be considered Grantee’s or Sub-grantees’ employee(s) for all purposes hereunder and shall not be employees of the State for any purpose as a result of this Grant.

## **7. PAYMENTS TO GRANTEE**

The State shall, in accordance with the provisions of this **§7**, pay Grantee in the following amounts and using the methods set forth below:

### **A. Maximum Amount**

The maximum amount payable under this Grant to Grantee by the State is \$100,000, as determined by the State from available funds. Grantee agrees to provide any additional funds required for the successful completion of the Work. Payments to Grantee are limited to the unpaid obligated balance of the Grant as set forth in **Exhibit A**. The maximum amount payable by the State to Grantee during each State fiscal year of this Grant shall be:

<b>\$100,000 in FY2014</b>
<b>\$100,000 in FY 2015, minus any funds expended in FY2014</b>

**B. Additional amounts** over the \$100,000 approved in this grant are subject to approval by the CWCB and are not guaranteed. If such amounts are not approved, Grantee will deliver all work products developed using available grant funds.

#### **i. Advance, Iterim and Final Payments**

Any payment allowed under this Grant or in **Exhibit A** shall comply with State Fiscal Rules and be made in accordance with the provisions of this Grant or such Exhibit. Grantee shall initiate any

payment requests by submitting invoices to the State in the form and manner set forth and approved by the State.

**ii. Interest**

The State shall fully pay each invoice within 45 days of receipt thereof if the amount invoiced represents performance by Grantee previously accepted by the State. Uncontested amounts not paid by the State within 45 days may, if Grantee so requests, bear interest on the unpaid balance beginning on the 46th day at a rate not to exceed one percent per month until paid in full; provided, however, that interest shall not accrue on unpaid amounts that are subject to a good faith dispute. Grantee shall invoice the State separately for accrued interest on delinquent amounts. The billing shall reference the delinquent payment, the number of day's interest to be paid and the interest rate.

**iii. Available Funds-Contingency-Termination**

The State is prohibited by law from making fiscal commitments beyond the term of the State's current fiscal year. Therefore, Grantee's compensation is contingent upon the continuing availability of State appropriations as provided in the Colorado Special Provisions, set forth below. If federal funds are used with this Grant in whole or in part, the State's performance hereunder is contingent upon the continuing availability of such funds. Payments pursuant to this Grant shall be made only from available funds encumbered for this Grant and the State's liability for such payments shall be limited to the amount remaining of such encumbered funds. If State or federal funds are not appropriated, or otherwise become unavailable to fund this Grant, the State may immediately terminate this Grant in whole or in part without further liability in accordance with the provisions herein.

**iv. Erroneous Payments**

At the State's sole discretion, payments made to Grantee in error for any reason, including, but not limited to overpayments or improper payments, and unexpended or excess funds received by Grantee, may be recovered from Grantee by deduction from subsequent payments under this Grant or other Grants, grants or agreements between the State and Grantee or by other appropriate methods and collected as a debt due to the State. Such funds shall not be paid to any party other than the State.

**C. Use of Funds**

Grant Funds shall be used only for eligible costs identified herein and/or in the Budget. The State's total consideration shall not exceed the maximum amount shown herein.

**8. REPORTING - NOTIFICATION**

Reports, Evaluations, and Reviews required under this §8 shall be in accordance with the procedures of and in such form as prescribed by the State and in accordance with §19, if applicable.

**A. Performance, Progress, Personnel, and Funds**

Grantee shall submit a report to the State upon expiration or sooner termination of this Grant, containing an Evaluation and Review of Grantee's performance and the final status of Grantee's obligations hereunder. In addition, Grantee shall comply with all reporting requirements, if any, set forth in **Exhibit A and B**.

**B. Litigation Reporting**

Within 10 days after being served with any pleading in a legal action filed with a court or administrative agency, related to this Grant or which may affect Grantee's ability to perform its obligations hereunder, Grantee shall notify the State of such action and deliver copies of such pleadings to the State's principal representative as identified herein. If the State's principal representative is not then serving, such notice and copies shall be delivered to the Executive Director of the Colorado Department of Natural Resources.

**C. Noncompliance**

Grantee's failure to provide reports and notify the State in a timely manner in accordance with this §8 may result in the delay of payment of funds and/or termination as provided under this Grant.

**D. SubGrants**

Copies of any and all subGrants entered into by Grantee to perform its obligations hereunder shall be submitted to the State or its principal representative upon request by the State. Any and all subGrants entered into by Grantee related to its performance hereunder shall comply with all applicable federal and state laws and shall provide that such subGrants be governed by the laws of the State of Colorado.

**9. GRANTEE RECORDS**

Grantee shall make, keep, maintain and allow inspection and monitoring of the following records:

**A. Maintenance**

Grantee shall make, keep, maintain, and allow inspection and monitoring by the State of a complete file of all records, documents, communications, notes and other written materials, electronic media files, and communications, pertaining in any manner to the Work or the delivery of Services (including, but not limited to the operation of programs) or Goods hereunder. Grantee shall maintain such records (the Record Retention Period) until the last to occur of the following: (i) a period of three years after the date this Grant is completed or terminated, or (ii) final payment is made hereunder, whichever is later, or (iii) for such further period as may be necessary to resolve any pending matters, or (iv) if an audit is occurring, or Grantee has received notice that an audit is pending, then until such audit has been completed and its findings have been resolved (the "Record Retention Period").

**B. Inspection**

Grantee shall permit the State, the federal government and any other duly authorized agent of a governmental agency to audit, inspect, examine, excerpt, copy and/or transcribe Grantee's records related to this Grant during the Record Retention Period for a period of three years following termination of this Grant or final payment hereunder, whichever is later, to assure compliance with the terms hereof or to evaluate Grantee's performance hereunder. The State reserves the right to inspect the Work at all reasonable times and places during the term of this Grant, including any extension. If the Work fails to conform to the requirements of this Grant, the State may require Grantee promptly to bring the Work into conformity with Grant requirements, at Grantee's sole expense. If the Work cannot be brought into conformance by re-performance or other corrective measures, the State may require Grantee to take necessary action to ensure that future performance conforms to Grant requirements and exercise the remedies available under this Grant, at law or inequity in lieu of or in conjunction with such corrective measures.

**C. Monitoring**

Grantee shall permit the State, the federal government, and other governmental agencies having jurisdiction, in their sole discretion, to monitor all activities conducted by Grantee pursuant to the terms of this Grant using any reasonable procedure, including, but not limited to: internal evaluation procedures, examination of program data, special analyses, on-site checking, formal audit examinations, or any other procedures. All monitoring controlled by the State shall be performed in a manner that shall not unduly interfere with Grantee's performance hereunder.

**D. Final Audit Report**

If an audit is performed on Grantee's records for any fiscal year covering a portion of the term of this Grant, Grantee shall submit a copy of the final audit report to the State or its principal representative at the address specified herein.

**10. CONFIDENTIAL INFORMATION-STATE RECORDS**

Grantee shall comply with the provisions on this §10 if it becomes privy to confidential information in connection with its performance hereunder. Confidential information, includes, but is not necessarily limited to, state records, personnel records, and information concerning individuals. The State shall notify the Grantee in writing and notate any State records and information that are classified by the State as being "Confidential" and given to the Grantee in connection with its performance hereunder. The provisions of this Section 10 shall only apply to such notated records and information.

**A. Confidentiality**

Grantee shall keep all State records and information confidential at all times and to comply with all laws and regulations concerning confidentiality of information. Any request or demand by a third party for State records and information in the possession of Grantee shall be immediately forwarded to the State's principal representative.

**B. Notification**

Grantee shall notify its agent, employees, Sub-grantees, and assigns who may come into contact with State records and confidential information that each is subject to the confidentiality requirements set forth herein, and shall provide each with a written explanation of such requirements before they are permitted to access such records and information.



### **C. Use, Security, and Retention**

Confidential information of any kind shall not be distributed or sold to any third party or used by Grantee or its agents in any way, except as authorized by this Grant or approved in writing by the State. Grantee shall provide and maintain a secure environment that ensures confidentiality of all State records and other confidential information wherever located. Confidential information shall not be retained in any files or otherwise by Grantee or its agents, except as permitted in this Grant or approved in writing by the State.

### **D. Disclosure-Liability**

Disclosure of State records or other confidential information by Grantee for any reason may be cause for legal action by third parties against Grantee, the State or their respective agents. To the extent permitted by law, Grantee shall indemnify, save, and hold harmless the State, its employees and agents, against any and all claims, damages, liability and court awards including costs, expenses, and attorney fees and related costs, incurred as a result of any act or omission by Grantee, or its employees, agents, Sub-grantees, or assignees pursuant to this §10.

## **11. CONFLICTS OF INTEREST**

Grantee shall not engage in any business or personal activities or practices or maintain any relationships which conflict in any way with the full performance of Grantee's obligations hereunder. Grantee acknowledges that with respect to this Grant, even the appearance of a conflict of interest is harmful to the State's interests. Absent the State's prior written approval, Grantee shall refrain from any practices, activities or relationships that reasonably appear to be in conflict with the full performance of Grantee's obligations to the State hereunder. If a conflict or appearance exists, or if Grantee is uncertain whether a conflict or the appearance of a conflict of interest exists, Grantee shall submit to the State a disclosure statement setting forth the relevant details for the State's consideration. Failure to promptly submit a disclosure statement or to follow the State's direction in regard to the apparent conflict constitutes a breach of this Grant.

## **12. REPRESENTATIONS AND WARRANTIES**

Grantee makes the following specific representations and warranties, each of which was relied on by the State in entering into this Grant.

### **A. Standard and Manner of Performance**

Grantee shall perform its obligations hereunder in accordance with the highest standards of care, skill and diligence in the industry, trades or profession and in the sequence and manner set forth in this Grant.

### **B. Legal Authority – Grantee and Grantees Signatory**

Grantee warrants that it possesses the legal authority to enter into this Grant and that it has taken all actions required by its procedures, by-laws, and/or applicable laws to exercise that authority, and to lawfully authorize its undersigned signatory to execute this Grant, or any part thereof, and to bind Grantee to its terms. If requested by the State, Grantee shall provide the State with proof of Grantee's authority to enter into this Grant within 15 days of receiving such request.

### **C. Licenses, Permits, Etc.**

Grantee represents and warrants that as of the Effective Date it has, and that at all times during the term hereof it shall have, at its sole expense, all licenses, certifications, approvals, insurance, permits, and other authorization required by law to perform its obligations hereunder. Grantee warrants that it shall maintain all necessary licenses, certifications, approvals, insurance, permits, and other authorizations required to properly perform this Grant, without reimbursement by the State or other adjustment in Grant Funds. Additionally, all employees and agents of Grantee performing Services under this Grant shall hold all required licenses or certifications, if any, to perform their responsibilities. Grantee, if a foreign corporation or other foreign entity transacting business in the State of Colorado, further warrants that it currently has obtained and shall maintain any applicable certificate of authority to transact business in the State of Colorado and has designated a registered agent in Colorado to accept service of process. Any revocation, withdrawal or non-renewal of licenses, certifications, approvals, insurance, permits or any such similar requirements necessary for Grantee to properly perform the terms of this Grant shall be deemed to be a material breach by Grantee and constitute grounds for termination of this Grant.

## **13. INSURANCE**

Grantee and its Sub-grantees shall obtain and maintain insurance as specified in this section at all times during the term of this Grant: All policies evidencing the insurance coverage required hereunder shall be issued by insurance companies satisfactory to Grantee and the State.

**A. Grantee**

**i. Public Entities**

If Grantee is a "public entity" within the meaning of the Colorado Governmental Immunity Act, CRS §24-10-101, et seq., as amended (the "GIA"), then Grantee shall maintain at all times during the term of this Grant such liability insurance, by commercial policy or self-insurance, as is necessary to meet its liabilities under the GIA. Grantee shall show proof of such insurance satisfactory to the State, if requested by the State. Grantee shall require each Grant with Sub-grantees that are public entities, providing Goods or Services hereunder, to include the insurance requirements necessary to meet Sub-grantee's liabilities under the GIA.

**ii. Non-Public Entities**

If Grantee is not a "public entity" within the meaning of the GIA, Grantee shall obtain and maintain during the term of this Grant insurance coverage and policies meeting the same requirements set forth in §13(B) with respect to sub-Grantees that are not "public entities".

**B. Sub-Grantees**

Grantee shall require each Grant with Sub-grantees, other than those that are public entities, providing Goods or Services in connection with this Grant, to include insurance requirements substantially similar to the following:

**i. Worker's Compensation**

Worker's Compensation Insurance as required by State statute, and Employer's Liability Insurance covering all of Grantee and Sub-grantee employees acting within the course and scope of their employment.

**ii. General Liability**

Commercial General Liability Insurance written on ISO occurrence form CG 00 01 10/93 or equivalent, covering premises operations, fire damage, independent contractors, products and completed operations, blanket Grantual liability, personal injury, and advertising liability with minimum limits as follows: (a) \$1,000,000 each occurrence; (b) \$1,000,000 general aggregate; (c) \$1,000,000 products and completed operations aggregate; and (d) \$50,000 any one fire. If any aggregate limit is reduced below \$1,000,000 because of claims made or paid, Sub-grantee shall immediately obtain additional insurance to restore the full aggregate limit and furnish to Grantee a certificate or other document satisfactory to Grantee showing compliance with this provision.

**iii. Automobile Liability**

Automobile Liability Insurance covering any auto (including owned, hired and non-owned autos) with a minimum limit of \$1,000,000 each accident combined single limit.

**iv. Additional Insured**

Grantee and the State shall be named as additional insured on the Commercial General Liability and Automobile Liability Insurance policies (leases and construction Grants require additional insured coverage for completed operations on endorsements CG 2010 11/85, CG 2037, or equivalent).

**v. Primacy of Coverage**

Coverage required of Grantee and Sub-grantees shall be primary over any insurance or self-insurance program carried by Grantee or the State.

**vi. Cancellation**

The above insurance policies shall include provisions preventing cancellation or non-renewal without at least 45 days prior notice to the Grantee and Grantee shall forward such notice to the State in accordance with §16 (Notices and Representatives) within seven days of Grantee's receipt of such notice.

**vii. Subrogation Waiver**

All insurance policies in any way related to this Grant and secured and maintained by Grantee or its Sub-grantees as required herein shall include clauses stating that each carrier shall waive all rights of recovery, under subrogation or otherwise, against Grantee or the State, its agencies, institutions, organizations, officers, agents, employees, and volunteers.



### **C. Certificates**

Grantee and all Sub-grantees shall provide certificates showing insurance coverage required hereunder to the State within seven business days of the Effective Date of this Grant. No later than 15 days prior to the expiration date of any such coverage, Grantee and each Sub-grantee shall deliver to the State or Grantee certificates of insurance evidencing renewals thereof. In addition, upon request by the State at any other time during the term of this Grant or any sub-grant, Grantee and each Sub-grantee shall, within 10 days of such request, supply to the State evidence satisfactory to the State of compliance with the provisions of this §13.

## **14. BREACH**

### **A. Defined**

In addition to any breaches specified in other sections of this Grant, the failure of either Party to perform any of its material obligations hereunder in whole or in part or in a timely or satisfactory manner, constitutes a breach. The institution of proceedings under any bankruptcy, insolvency, reorganization or similar law, by or against Grantee, or the appointment of a receiver or similar officer for Grantee or any of its property, which is not vacated or fully stayed within 20 days after the institution or occurrence thereof, shall also constitute a breach.

### **B. Notice and Cure Period**

In the event of a breach, notice of such shall be given in writing by the aggrieved Party to the other Party in the manner provided in §16. If such breach is not cured within 30 days of receipt of written notice, or if a cure cannot be completed within 30 days, or if cure of the breach has not begun within 30 days and pursued with due diligence, the State may exercise any of the remedies set forth in §15. Notwithstanding anything to the contrary herein, the State, in its sole discretion, need not provide advance notice or a cure period and may immediately terminate this Grant in whole or in part if reasonably necessary to preserve public safety or to prevent immediate public crisis.

## **15. REMEDIES**

If Grantee is in breach under any provision of this Grant, the State shall have all of the remedies listed in this §15 in addition to all other remedies set forth in other sections of this Grant following the notice and cure period set forth in §14(B). The State may exercise any or all of the remedies available to it, in its sole discretion, concurrently or consecutively.

### **A. Termination for Cause and/or Breach**

If Grantee fails to perform any of its obligations hereunder with such diligence as is required to ensure its completion in accordance with the provisions of this Grant and in a timely manner, the State may notify Grantee of such non-performance in accordance with the provisions herein. If Grantee thereafter fails to promptly cure such non-performance within the cure period, the State, at its option, may terminate this entire Grant or such part of this Grant as to which there has been delay or a failure to properly perform. Exercise by the State of this right shall not be deemed a breach of its obligations hereunder. Grantee shall continue performance of this Grant to the extent not terminated, if any.

#### **i. Obligations and Rights**

To the extent specified in any termination notice, Grantee shall not incur further obligations or render further performance hereunder past the effective date of such notice, and shall terminate outstanding orders and sub-Grants with third parties. However, Grantee shall complete and deliver to the State all Work, Services and Goods not cancelled by the termination notice and may incur obligations as are necessary to do so within this Grant's terms. At the sole discretion of the State, Grantee shall assign to the State all of Grantee's right, title, and interest under such terminated orders or sub-Grants. Upon termination, Grantee shall take timely, reasonable and necessary action to protect and preserve property in the possession of Grantee in which the State has an interest. All materials owned by the State in the possession of Grantee shall be immediately returned to the State. All Work Product, at the option of the State, shall be delivered by Grantee to the State and shall become the State's property.

#### **ii. Payments**

The State shall reimburse Grantee only for accepted performance up to the date of termination. If, after termination by the State, it is determined that Grantee was not in breach or that Grantee's action or

inaction was excusable, such termination shall be treated as a termination in the public interest and the rights and obligations of the Parties shall be the same as if this Grant had been terminated in the public interest, as described herein.

### **iii. Damages and Withholding**

Notwithstanding any other remedial action by the State, Grantee also shall remain liable to the State for any damages sustained by the State by virtue of any breach under this Grant by Grantee and the State may withhold any payment to Grantee for the purpose of mitigating the State's damages, until such time as the exact amount of damages due to the State from Grantee is determined. The State may withhold any amount that may be due to Grantee as the State deems necessary to protect the State, including loss as a result of outstanding liens or claims of former lien holders, or to reimburse the State for the excess costs incurred in procuring similar goods or services. Grantee shall be liable for excess costs incurred by the State in procuring from third parties replacement Work, Services or substitute Goods as cover.

## **B. Early Termination in the Public Interest**

The State is entering into this Grant for the purpose of carrying out the public policy of the State of Colorado, as determined by its Governor, General Assembly, and/or Courts. If this Grant ceases to further the public policy of the State, the State, in its sole discretion, may terminate this Grant in whole or in part. Exercise by the State of this right shall not constitute a breach of the State's obligations hereunder. This subsection shall not apply to a termination of this Grant by the State for cause or breach by Grantee, which shall be governed by §15(A) or as otherwise specifically provided for herein.

### **i. Method and Content**

The State shall notify Grantee of such termination in accordance with §16. The notice shall specify the effective date of the termination and whether it affects all or a portion of this Grant.

### **ii. Obligations and Rights**

Upon receipt of a termination notice, Grantee shall be subject to and comply with the same obligations and rights set forth in §15(A)(i).

### **iii. Payments**

If this Grant is terminated by the State pursuant to this §15(B), Grantee shall be paid an amount which bears the same ratio to the total reimbursement under this Grant as the Services satisfactorily performed bear to the total Services covered by this Grant, less payments previously made. Additionally, if this Grant is less than 60% completed, the State may reimburse Grantee for a portion of actual out-of-pocket expenses (not otherwise reimbursed under this Grant) incurred by Grantee which are directly attributable to the uncompleted portion of Grantee's obligations hereunder; provided that the sum of any and all reimbursement shall not exceed the maximum amount payable to Grantee hereunder.

## **C. Remedies Not Involving Termination**

The State, in its sole discretion, may exercise one or more of the following remedies in addition to other remedies available to it:

### **i. Suspend Performance**

Suspend Grantee's performance with respect to all or any portion of this Grant pending necessary corrective action as specified by the State without entitling Grantee to an adjustment in price/cost or performance schedule. Grantee shall promptly cease performance and incurring costs in accordance with the State's directive and the State shall not be liable for costs incurred by Grantee after the suspension of performance under this provision.

### **ii. Withhold Payment**

Withhold payment to Grantee until corrections in Grantee's performance are satisfactorily made and completed.

### **iii. Deny Payment**

Deny payment for those obligations not performed, that due to Grantee's actions or inactions, cannot be performed or, if performed, would be of no value to the State; provided, that any denial of payment shall be reasonably related to the value to the State of the obligations not performed.

### **iv. Removal**

Demand removal of any of Grantee's employees, agents, or Sub-grantees whom the State deems incompetent, careless, insubordinate, unsuitable, or otherwise unacceptable, or whose continued relation to this Grant is deemed to be contrary to the public interest or not in the State's best interest.

**v. Intellectual Property**

If Grantee infringes on a patent, copyright, trademark, trade secret or other intellectual property right while performing its obligations under this Grant, Grantee shall, at the State's option (a) obtain for the State or Grantee the right to use such products and services; (b) replace any Goods, Services, or other product involved with non-infringing products or modify them so that they become non-infringing; or, (c) if neither of the foregoing alternatives are reasonably available, remove any infringing Goods, Services, or products and refund the price paid therefore to the State.

**16. NOTICES and REPRESENTATIVES**

Each individual identified below is the principal representative of the designating Party. All notices required to be given hereunder shall be hand delivered with receipt required or sent by certified or registered mail to such Party's principal representative at the address set forth below. In addition to, but not in lieu of a hard-copy notice, notice also may be sent by e-mail to the e-mail addresses, if any, set forth below. Either Party may from time to time designate by written notice substitute addresses or persons to whom such notices shall be sent. Unless otherwise provided herein, all notices shall be effective upon receipt.

**A. State:**

Brent Newman, Project Manager
Colorado Water Conservation Board
Water Supply Planning
1580 Logan Street, Suite 200
Denver, CO 80203
Brent.newman@state.co.us

**B. Grantee:**

Daniel R. Birch, Deputy General Manager
Colorado River Water Conservation District
P.O. Box 1120
Glenwood Springs, CO 81602
dbirch@crwcd.org

**17. RIGHTS IN DATA, DOCUMENTS, AND COMPUTER SOFTWARE**

Any software, research, reports, studies, data, photographs, negatives or other documents, drawings, models, materials, or Work Product of any type, including drafts, prepared by Grantee in the performance of its obligations under this Grant shall be the nonexclusive property of the State and, all Work Product shall be delivered to the State by Grantee upon completion or termination hereof. The State's nonexclusive rights in such Work Product shall include, but not be limited to, the right to copy, publish, display, transfer, and prepare derivative works.

**18. GOVERNMENTAL IMMUNITY**

Notwithstanding any other provision to the contrary, nothing herein shall constitute a waiver, express or implied, of any of the immunities, rights, benefits, protection, or other provisions of the Colorado Governmental Immunity Act, CRS §24-10-101, et seq., as amended. Liability for claims for injuries to persons or property arising from the negligence of the State of Colorado, its departments, institutions, agencies, boards, officials, and employees is controlled and limited by the provisions of the Governmental Immunity Act and the risk management statutes, CRS §24-30-1501, et seq., as amended.

**19. STATEWIDE GRANT MANAGEMENT SYSTEM**

If the maximum amount payable to Grantee under this Grant is \$100,000 or greater, either on the Effective Date or at anytime thereafter, this §19 applies.

Grantee agrees to be governed, and to abide, by the provisions of CRS §24-102-205, §24-102-206, §24-103-601, §24-103.5-101 and §24-105-102 concerning the monitoring of vendor performance on state Grants and inclusion of Grant performance information in a statewide Grant management system.

Grantee's performance shall be subject to Evaluation and Review in accordance with the terms and conditions of this Grant, State law, including CRS §24-103.5-101, and State Fiscal Rules, Policies and Guidance. Evaluation and Review of Grantee's performance shall be part of the normal Grant administration process and Grantee's performance will be systematically recorded in the statewide Grant Management System. Areas of Evaluation and Review shall include, but shall not be limited to quality, cost and timeliness. Collection of information relevant to the performance of Grantee's obligations under this Grant shall be determined by the specific requirements of such obligations and shall include factors tailored to match the requirements of Grantee's obligations. Such performance information shall be entered into the statewide Grant Management System at intervals established herein and a final Evaluation, Review and Rating shall be rendered within 30 days of the end of the Grant term. Grantee shall be notified following each performance Evaluation and Review, and shall address or correct any identified problem in a timely manner and maintain work progress.

Should the final performance Evaluation and Review determine that Grantee demonstrated a gross failure to meet the performance measures established hereunder, the Executive Director of the Colorado Department of Personnel and Administration (Executive Director), upon request by the CWCB, and showing of good cause, may debar Grantee and prohibit Grantee from bidding on future Grants. Grantee may contest the final Evaluation, Review and Rating by: (a) filing rebuttal statements, which may result in either removal or correction of the evaluation (CRS §24-105-102(6)), or (b) under CRS §24-105-102(6), exercising the debarment protest and appeal rights provided in CRS §§24-109-106, 107, 201 or 202, which may result in the reversal of the debarment and reinstatement of Grantee, by the Executive Director, upon showing of good cause.

## **20. GENERAL PROVISIONS**

### **A. Assignment and SubGrants**

Unless otherwise specified in Exhibit A, Statement of Work, Grantee's rights and obligations hereunder are personal and may not be transferred, assigned or subGranted without the prior, written consent of the State. Any attempt at assignment, transfer, subGranting without such consent shall be void. All assignments, subGrants, or Sub-grantees approved by Grantee or the State are subject to all of the provisions hereof. Grantee shall be solely responsible for all aspects of subGranting arrangements and performance.

### **B. Binding Effect**

Except as otherwise provided in §20(A), all provisions herein contained, including the benefits and burdens, shall extend to and be binding upon the Parties' respective heirs, legal representatives, successors, and assigns.

### **C. Captions**

The captions and headings in this Grant are for convenience of reference only, and shall not be used to interpret, define, or limit its provisions.

### **D. Counterparts**

This Grant may be executed in multiple identical original counterparts, all of which shall constitute one agreement.

### **E. Entire Understanding**

This Grant represents the complete integration of all understandings between the Parties and all prior representations and understandings, oral or written, are merged herein. Prior or contemporaneous additions, deletions, or other changes hereto shall not have any force or affect whatsoever, unless embodied herein.

### **F. Indemnification-General**

To the extent permitted by law, Grantee shall indemnify, save, and hold harmless the State, its employees and agents, against any and all to claims, damages, liability and court awards including costs, expenses, and attorney fees and related costs, incurred as a result of any act or omission by Grantee, or its employees, agents, Sub-grantees, or assignees pursuant to the terms of this Grant; however, the provions hereof shall not be construed or interpreted as a waiver, express or implied, of any of the immunities, rights, benefits, protection, or other provisions, of the Colorado Governmental Immunity Act, CRS §24-10-101 et seq., or the Federal Tort Claims Act, 28 U.S.C. 2671 et seq., as applicable, as now or hereafter amended.

## **G. Jurisdiction and Venue**

All suits, actions, or proceedings related to this Grant shall be held in the State of Colorado and exclusive venue shall be in the City and County of Denver.

## **H. Modification**

### **i. By the Parties**

Except as specifically provided in this Grant, modifications of this Grant shall not be effective unless agreed to in writing by both parties in an amendment to this Grant, properly executed and approved in accordance with applicable Colorado State law, State Fiscal Rules, and Office of the State Controller Policies, including, but not limited to, the policy entitled MODIFICATIONS OF GRANTS - TOOLS AND FORMS.

### **ii. By Operation of Law**

This Grant is subject to such modifications as may be required by changes in Federal or Colorado State law, or their implementing regulations. Any such required modification automatically shall be incorporated into and be part of this Grant on the effective date of such change, as if fully set forth herein.

## **I. Order of Precedence**

The provisions of this Grant shall govern the relationship of the State and Grantee. In the event of conflicts or inconsistencies between this Grant and its exhibits and attachments including, but not limited to, those provided by Grantee, such conflicts or inconsistencies shall be resolved by reference to the documents in the following order of priority:

- i. Colorado Special Provisions,**
- ii. The provisions of the main body of this Grant,**
- iii. Exhibit A and B.**

## **J. Severability**

Provided this Grant can be executed and performance of the obligations of the Parties accomplished within its intent, the provisions hereof are severable and any provision that is declared invalid or becomes inoperable for any reason shall not affect the validity of any other provision hereof.

## **K. Survival of Certain Grant Terms**

Notwithstanding anything herein to the contrary, provisions of this Grant requiring continued performance, compliance, or effect after termination hereof, shall survive such termination and shall be enforceable by the State if Grantee fails to perform or comply as required.

## **L. Taxes**

The State is exempt from all federal excise taxes under IRC Chapter 32 (No. 84-730123K) and from all State and local government sales and use taxes under CRS §§39-26-101 and 201 et seq. Such exemptions apply when materials are purchased or services rendered to benefit the State; provided however, that certain political subdivisions (e.g., City of Denver) may require payment of sales or use taxes even though the product or service is provided to the State. Grantee shall be solely liable for paying such taxes as the State is prohibited from paying for or reimbursing Grantee for them.

## **M. Third Party Beneficiaries**

Enforcement of this Grant and all rights and obligations hereunder are reserved solely to the Parties, and not to any third party. Any services or benefits which third parties receive as a result of this Grant are incidental to the Grant, and do not create any rights for such third parties.

## **N. Waiver**

Waiver of any breach of a term, provision, or requirement of this Grant, or any right or remedy hereunder, whether explicitly or by lack of enforcement, shall not be construed or deemed as a waiver of any subsequent breach of such term, provision or requirement, or of any other term, provision, or requirement.

## **O. CORA Disclosure**

To the extent not prohibited by federal law, this Grant and the performance measures and standards under CRS §24-103.5-101, if any, are subject to public release through the Colorado Open Records Act, CRS §24-72-101, et seq.



## **21. COLORADO SPECIAL PROVISIONS**

The Special Provisions apply to all Grants except where noted in italics.

### **A. 1. CONTROLLER'S APPROVAL. CRS §24-30-202 (1).**

This Grant shall not be deemed valid until it has been approved by the Colorado State Controller or designee.

### **B. 2. FUND AVAILABILITY. CRS §24-30-202(5.5).**

Financial obligations of the State payable after the current fiscal year are contingent upon funds for that purpose being appropriated, budgeted, and otherwise made available.

### **C. 3. GOVERNMENTAL IMMUNITY.**

No term or condition of this Grant shall be construed or interpreted as a waiver, express or implied, of any of the immunities, rights, benefits, protections, or other provisions, of the Colorado Governmental Immunity Act, CRS §24-10-101 et seq., or the Federal Tort Claims Act, 28 U.S.C. §§1346(b) and 2671 et seq., as applicable now or hereafter amended.

### **D. 4. INDEPENDENT CONTRACTOR**

Grantee shall perform its duties hereunder as an independent contractor and not as an employee. Neither Grantee nor any agent or employee of Grantee shall be deemed to be an agent or employee of the State. Grantee and its employees and agents are not entitled to unemployment insurance or workers compensation benefits through the State and the State shall not pay for or otherwise provide such coverage for Grantee or any of its agents or employees. Unemployment insurance benefits shall be available to Grantee and its employees and agents only if such coverage is made available by Grantee or a third party. Grantee shall pay when due all applicable employment taxes and income taxes and local head taxes incurred pursuant to this Grant. Grantee shall not have authorization, express or implied, to bind the State to any Grant, liability or understanding, except as expressly set forth herein. Grantee shall (a) provide and keep in force workers' compensation and unemployment compensation insurance in the amounts required by law, (b) provide proof thereof when requested by the State, and (c) be solely responsible for its acts and those of its employees and agents.

### **E. 5. COMPLIANCE WITH LAW.**

Grantee shall strictly comply with all applicable federal and State laws, rules, and regulations in effect or hereafter established, including, without limitation, laws applicable to discrimination and unfair employment practices.

### **F. 6. CHOICE OF LAW.**

Colorado law, and rules and regulations issued pursuant thereto, shall be applied in the interpretation, execution, and enforcement of this grant. Any provision included or incorporated herein by reference which conflicts with said laws, rules, and regulations shall be null and void. Any provision incorporated herein by reference which purports to negate this or any other Special Provision in whole or in part shall not be valid or enforceable or available in any action at law, whether by way of complaint, defense, or otherwise. Any provision rendered null and void by the operation of this provision shall not invalidate the remainder of this Grant, to the extent capable of execution.

### **G. 7. BINDING ARBITRATION PROHIBITED.**

The State of Colorado does not agree to binding arbitration by any extra-judicial body or person. Any provision to the contrary in this contract or incorporated herein by reference shall be null and void.

### **H. 8. SOFTWARE PIRACY PROHIBITION. Governor's Executive Order D 002 00.**

State or other public funds payable under this Grant shall not be used for the acquisition, operation, or maintenance of computer software in violation of federal copyright laws or applicable licensing restrictions. Grantee hereby certifies and warrants that, during the term of this Grant and any extensions, Grantee has and shall maintain in place appropriate systems and controls to prevent such improper use of public funds. If the State determines that Grantee is in violation of this provision, the State may exercise any remedy available at law or in equity or under this Grant, including, without limitation, immediate termination of this Grant and any remedy consistent with federal copyright laws or applicable licensing restrictions.



**I. 9. EMPLOYEE FINANCIAL INTEREST. CRS §§24-18-201 and 24-50-507.**

The signatories aver that to their knowledge, no employee of the State has any personal or beneficial interest whatsoever in the service or property described in this Grant. Grantee has no interest and shall not acquire any interest, direct or indirect, that would conflict in any manner or degree with the performance of Grantee's services and Grantee shall not employ any person having such known interests.

**J. 10. VENDOR OFFSET. CRS §§24-30-202 (1) and 24-30-202.4.**

*[Not Applicable to intergovernmental agreements]* Subject to CRS §24-30-202.4 (3.5), the State Controller may withhold payment under the State's vendor offset intercept system for debts owed to State agencies for: (a) unpaid child support debts or child support arrearages; (b) unpaid balances of tax, accrued interest, or other charges specified in CRS §39-21-101, et seq.; (c) unpaid loans due to the Student Loan Division of the Department of Higher Education; (d) amounts required to be paid to the Unemployment Compensation Fund; and (e) other unpaid debts owing to the State as a result of final agency determination or judicial action.

**K. 11. PUBLIC GRANTS FOR SERVICES. CRS §8-17.5-101.**

*[Not Applicable to Agreements relating to the offer, issuance, or sale of securities, investment advisory services or fund management services, sponsored projects, intergovernmental Agreements, or information technology services or products and services]* Grantee certifies, warrants, and agrees that it does not knowingly employ or Grant with an illegal alien who shall perform work under this Grant and shall confirm the employment eligibility of all employees who are newly hired for employment in the United States to perform work under this Grant, through participation in the E-Verify Program or the State program established pursuant to CRS §8-17.5-102(5)(c), Grantee shall not knowingly employ or Grant with an illegal alien to perform work under this Grant or enter into a Grant with a Sub-grantee that fails to certify to Grantee that the Sub-grantee shall not knowingly employ or Grant with an illegal alien to perform work under this Grant. Grantee (a) shall not use E-Verify Program or State program procedures to undertake pre-employment screening of job applicants while this Grant is being performed, (b) shall notify the Sub-grantee and the Granting State agency within three days if Grantee has actual knowledge that a Sub-grantee is employing or Granting with an illegal alien for work under this Grant, (c) shall terminate the subGrant if a Sub-grantee does not stop employing or Granting with the illegal alien within three days of receiving the notice, and (d) shall comply with reasonable requests made in the course of an investigation, undertaken pursuant to CRS §8-17.5-102(5), by the Colorado Department of Labor and Employment. If Grantee participates in the State program, Grantee shall deliver to the Granting State agency, Institution of Higher Education or political subdivision, a written, notarized affirmation, affirming that Grantee has examined the legal work status of such employee, and shall comply with all of the other requirements of the State program. If Grantee fails to comply with any requirement of this provision or CRS §8-17.5-101 et seq., the Granting State agency, institution of higher education or political subdivision may terminate this Grant for breach and, if so terminated, Grantee shall be liable for damages.

**L. 12. PUBLIC GRANTS WITH NATURAL PERSONS. CRS §24-76.5-101.**

Grantee, if a natural person eighteen (18) years of age or older, hereby swears and affirms under penalty of perjury that he or she (a) is a citizen or otherwise lawfully present in the United States pursuant to federal law, (b) shall comply with the provisions of CRS §24-76.5-101 et seq., and (c) has produced one form of identification required by CRS §24-76.5-103 prior to the effective date of this Grant.

**22. SIGNATURE PAGE****CMS#** 65117**THE PARTIES HERETO HAVE EXECUTED THIS GRANT**

\* Persons signing for Grantee hereby swear and affirm that they are authorized to act on Grantee's behalf and acknowledge that the State is relying on their representations to that effect.

<p align="center"><b>GRANTEE</b> Colorado River Water Conservation District, acting by and through its Colorado River Water Enterprise</p> <p>By: <u>ERIC KUH</u> Title: <u>MANAGER</u> <u>R. E. K.</u> _____ *Signature</p> <p>Date: <u>1/30/14</u></p>	<p align="center"><b>STATE OF COLORADO</b> <b>John W. Hickenlooper GOVERNOR</b> Department of Natural Resources Mike King, Executive Director</p> <p><u>Rebecca Mitchell</u> _____ By: Rebecca Mitchell, Section Chief, Water Supply Planning, CWCB Signatory avers to the State Controller or delegate that Grantee has not begun performance or that a Statutory Violation waiver has been requested under Fiscal Rules</p> <p>Date: <u>1-30-14</u></p>
<p>By: _____ Title: _____</p> <p>_____ *Signature</p> <p>Date: _____</p>	<p align="center"><b>LEGAL REVIEW</b> John W. Suthers, Attorney General</p> <p>By: _____ Signature - Assistant Attorney General</p> <p>Date: _____</p>

**ALL GRANTS REQUIRE APPROVAL BY THE STATE CONTROLLER**

CRS §24-30-202 requires the State Controller to approve all State Grants. This Grant is not valid until signed and dated below by the State Controller or delegate. Grantee is not authorized to begin performance until such time. If Grantee begins performing prior thereto, the State of Colorado is not obligated to pay Grantee for such performance or for any goods and/or services provided hereunder.

<p align="center"><b>STATE CONTROLLER</b> Robert Jaros, CPA, MBA, JD</p> <p>By: <u>[Signature]</u> _____ Date: <u>2-6-2014</u></p>
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## Introduction

This scope of work is for the development of the Basin Implementation Plan (BIP) for the Yampa/White/Green Basin Roundtable (BRT). The work from the BIP will be incorporated into the Colorado State Water Plan being developed under Governor Hickenlooper's executive order to the Colorado Water Conservation Board (CWCB). The BIP will outline needs, projects, opportunities and constraints, and will inform the Colorado State Water Plan about the water situation in the Yampa, White and Green river basins.

The BIP will compile existing data, reports, models, and other relevant information to meet the intent of the BIP requirements for the Colorado State Water Plan. The principal objective of the BIP is to show how the Roundtable will meet its future municipal, industrial, agricultural, recreational, and environmental needs. The BIP should outline the Projects and Methods that would be implemented to meet basin-specific municipal, industrial, agricultural, environmental, and recreational needs. The BIP will highlight Identified Projects and Processes (IPPs) and the development of new Projects and Methods that meet the water supply gaps identified in SWSI 2010 and the needs assessments identified by the BRT. The BIP should also identify goals and measurable outcomes, needs, constraints and opportunities.

The work identified in this scope of work will be conducted to meet the following:

- Ensure that the expectations of the BRT and the public are reflected in the final BIP.
- Ensure the final BIP reflects enough detail to inform the Colorado State Water Plan as outlined by the BIP Guidance Document.

## Approach

The information necessary to develop the BIP will largely come from a stakeholder-driven process. Tasks outlined in this scope of work were developed according to the proposed schedule, which includes three meetings with the BRT Subcommittee/BRT/stakeholders and four public meetings. It is envisioned that the three BRT meetings will also include key stakeholders with a vested interest in the BIP process. These stakeholders, which may include municipalities, energy companies, recreationalists, property owners, etc., will play an important role in the process and will be given an opportunity to provide information and insight in addition to that from BRT members. The public meetings, which will also include attendance by BRT representatives, will serve to educate the public on the BIP and consequentially generate support for the process.

The scope of work consists of the following eight tasks: 1) Basin goals and measurable outcomes, 2) Consumptive and nonconsumptive needs, 3) Constraints, opportunities and Projects and Methods, 4) Shortages, Projects and Methods assessment and modeling, 5) Implementation strategies and revisit goals and measurable outcomes, 6) Public education, participation and outreach, 7) Development of the BIP and 8) Project management and CWCB meetings.

The project cost estimate and schedule are provided in Attachments A and B, respectively. Attachment C includes a draft Table of Contents for the BIP. While minor changes may be made to the Table of Contents as the project proceeds, the current Table of Contents captures all of the information that will be presented in the final BIP according to the CWCB BIP Guidance Document.

## **Consultant Team Roles**

Our consulting team provides a broad array of services that match the BRT's needs. The roles of the primary team members for this project are described below.

- AMEC – As the project leader, AMEC will take the lead on coordinating efforts with the Consultant Team and will be the primary contact with the BIP representatives. AMEC will also provide the technical support, writing, and assistance with the facilitation necessary for BRT/stakeholder meetings and development of the BIP.
- Community Agriculture Alliance – Provides the local presence necessary to achieve a successful BIP that is representative of the local basin. Community Agriculture Alliance will take the lead on facilitating the BRT/stakeholder meetings in addition to assisting the BRT in the public outreach process.
- Canyon Water Resources, LLC - Provides knowledge of the White River Basin including water rights administration, consumptive and non consumptive needs, and Projects and Methods.

## **Task 1 Basin Goals and Measurable Outcomes**

The Yampa/White/Green Basin Implementation Subcommittee (Subcommittee) will start discussing the Basin Goals and Measurable Outcomes at the January 15, 2014 BRT meeting. Following the BRT meeting, a conference call between the Subcommittee and Consultant Team will be held in early February to discuss the Basin Goals and Measurable Outcomes. Information from this task will be incorporated into Section 1 of the BIP.

The Subcommittee meeting will be attended via phone by AMEC representatives and Community Agricultural Alliance. For this meeting:

- The Subcommittee and BRT will be responsible for developing the Goals and Measurable Outcomes. A draft of the Goals and Measurable outcomes will be provided by the Subcommittee to the Consultant Team in advance of the conference call.
- AMEC will be responsible for incorporating the feedback from this meeting into the draft BIP.
- Community Agriculture Alliance will provide input during the call.

The BRT will be responsible for presenting the Basin Goals and Measurable Outcomes at the March 6 Summit meeting. For purposes of the cost estimate, it is assumed that little support will be needed from the Consultant Team for this presentation.

## **Task 2 Consumptive and NonConsumptive Needs**

This task will focus on developing a compilation of existing consumptive and nonconsumptive needs data. A meeting with the BRT will be held in March to review the compilation of needs and to elicit feedback from the BRT and stakeholders. This meeting is discussed in further detail in Task 3.

The consumptive needs assessment will include an inventory of existing water planning information that may be relevant to the consumptive needs of the basin (e.g. agricultural needs studies and other municipal, industrial, and agricultural water-related studies/plans). This will include previously identified information through the SWSI statewide process, the State Drought Plan and other pertinent studies.

The nonconsumptive needs assessment will focus on how nonconsumptive needs are being met through existing Projects and Methods using the State's nonconsumptive Projects and Methods database. The task will outline an inventory of existing water planning information that may be relevant to the nonconsumptive needs of the basin (e.g. the Watershed Flow Evaluation Tool reports for the Colorado and Yampa/White roundtables, and other environmental and recreation water -related studies/plans). Based on the information from this inventory and information provided by CWCB, this task will address the following questions:

- For each focus segment, are there projects or methods in place to address each attribute?
- If they are in place, are they sufficient to maintain/sustain the attributes?
- How well do existing and planned Projects and Methods meet the needs defined in the goals and measurable outcomes section?

It is assumed that any new analyses necessary for the consumptive and nonconsumptive needs assessment will be based upon existing available information. For cost estimating purposes, it is assumed that a project biologist will not be needed on the Consulting Team for the nonconsumptive needs assessment. Information from this task will be incorporated into Sections 2.1 and 2.2 of the BIP.

### **Task 3 Constraints, Opportunities and Projects and Methods**

This task will also focus on developing a more thorough understanding of where there are opportunities for Projects and Methods to be implemented and where constraints exist that currently limit solutions. The task will specifically focus on the evaluation of consumptive and nonconsumptive constraints and opportunities and the review of identified consumptive and nonconsumptive Projects and Methods.

This task will utilize current water operations in the basin under high water demand/low water supply scenario and use existing data, tools, and methodologies. Within this task, constraints within the basin will be identified and described. Examples of these constraints include: competing or conflicting objectives among local plans; conflicting means of achieving the objective among local plans; jurisdictional conflicts; regulatory constraints; recreational opportunities, issues and impacts; and environmental opportunities, issues and impacts.

One meeting will be held with the BRT in early March to present the consumptive and nonconsumptive needs (Task 2), discuss constraints and opportunities, and review the SWSI Projects and Methods. The BRT will have an opportunity to comment on the former SWSI Project and Methods lists and add additional Projects and Methods for further consideration. A preliminary approach for further assessment of the Projects and Methods (Task 4) will also be presented. Such information will be used to further characterize the opportunities, constraints and benefits associated with the identified Projects and Methods.

The BRT meeting will be attended by AMEC representatives and Community Agriculture Alliance. For this meeting:

- AMEC will provide the meeting materials including the agenda, handouts and PowerPoint presentations. In addition AMEC will be responsible for incorporating the feedback from this meeting into the draft BIP.
- Community Agriculture Alliance will provide facilitation services and assist the BRT on who from the Stakeholders to invite to the public meeting.

One conference call will be held with the Subcommittee in advance of the BRT meeting in preparation for the meeting. During this conference call, the Subcommittee will have an opportunity to review and comment on the draft material to be presented at the BRT meeting.

For cost estimating purposes, it is assumed that a project biologist will not be needed on the Consulting Team for the nonconsumptive Projects and Methods assessment (Task 3 and Task 4). Information from this task will be incorporated into Sections 2.1, 2.2, 4.3, 4.4 4.5 and 4.6 of the BIP.

## **Task 4 Shortages, Projects and Methods Assessment and Modeling**

This task will provide the technical analyses and support necessary to characterize the Projects and Methods to carry forward for the implementation strategies in Task 5. This task will include the following:

- Water management and river administration
- Water resources modeling
- Current and future shortage analyses
- Evaluation of Projects and Methods

Information from this Task 4 will be incorporated into Sections 3.1, 3.2, 3.3 3.4, 4.3, 4.4, 4.5, 4.6, 4.7 of the BIP.

### ***Water Management and River Administration***

The purpose of this activity is to provide a common understanding of water administration to highlight considerations in understanding how to refine and develop the Plan report accordingly. This task will describe basin administration based on a review of existing CWCB and DWR documents addressing water resource management and water administration and review of cataloged water management information in the Basin Memoranda that were completed as part of the Colorado Decision Support System (CDSS) and a telephone meeting with the Division Engineer. The outcome and deliverable is an inventory listing the major controlling structures within each Water District, the period when general water administration begins and ends, acres irrigated, major reservoirs, major basin imports and exports, and any current compact administration within the basin.

In this task AMEC will also provide the BRT perspective regarding interbasin equities and how those considerations frame interbasin policies related to transbasin diversions, and compact administration under curtailment (Yampa doctrine).

### ***Current and Future Shortages Analysis***

Previous versions of SWSI have focused on a “Projects and Methods” gap using a firm-yield analysis. However, many stakeholders have expressed interest in also analyzing a water supply gap, or shortage. Based on information developed as part this section, shortage information will be compiled. The shortage analysis will summarize where municipal and industrial, agricultural, and nonconsumptive needs may have shortages under a high-water-demand/low-water-supply condition. This analysis will compare water supply conditions for a baseline case and a “Projects and Methods” case. Relevant information from existing modeling efforts may be included in this assessment. Modeling is further discussed below.



### ***Assessment of Projects and Methods***

Feedback from the BRT in Meeting #2 (Task 3) will be used to develop an updated list of Projects and Methods that meet the needs of the basin. This list in addition to feedback from the BRT on the Projects and Methods assessment approach during Meeting #2 will be used to further characterize the Project and Methods. The approach may include the distribution of forms for BRT members and key stakeholders to fill out for the purposes of collecting information on the Projects and Methods. To the extent available, this information may include costs, potential partners, lead entities, volumes of water, and timing for Projects and Methods.

Projects and Methods will move forward more successfully when formulated as multi-use, multi-purpose, regional, or cooperative projects that meet a range of identified needs. In this task, the effectiveness of identified Projects and Methods to meet multiple uses (both consumptive and non-consumptive) will be evaluated. Because some Projects and Methods have not been implemented in models, this evaluation will only be done qualitatively except for Morrison Creek Reservoir as described below.

### ***Water Resources Modeling***

AMEC will revise the baseflows assigned to Morrison Creek in the “Projects and Methods” Yampa model provided by CDM on December 30, 2013. AMEC will then re-run the “Projects and Methods” Yampa model before extracting model outputs for analysis in this task. Available existing modeling will be used to define the performance of Projects and Methods that are included in existing modeling efforts. Information to be considered includes how to optimize operations so that impacts are mitigated, how projects can be operated to serve multiple purposes, and how Projects and Methods perform under high water demand/low water supply scenario (a scenario that appears to have been included in the Projects and Methods Model).

With the exception of fixing the baseflows for the Morrison Creek Reservoir project, the work under this task will only include extracting, summarizing and graphically present data and results from the existing Projects and Methods Model without any change to the modeled projects.

We budgeted for up to four hours of phone calls with the Subcommittee to discuss the need for additional modeling.

## **Task 5 Implementation Strategies and Revisit Goals & Measurable Outcomes**

The BIP will identify water management challenges and opportunities within the Basin and provide a framework for meeting the challenges. Ensuring reliable water supplies is one of the key fundamental actions established by this analysis. This task will include a description of what is needed to fully implement the identified Projects and Methods. This may include:

- Strategies to ensure public education and acceptance
- Funding mechanisms and strategies for implementing water supply Projects and Methods
- Additional feasibility analysis and identifying partnerships/sponsors
- Timelines for identified projects and key tasks/milestones

This task will also focus on how the Projects and Methods identified meet the gaps and water supply shortages, in relation to the goals and measurable outcomes. This work will be further refined in SWSI as demands are updated, but it provides an initial benchmark to measurably determine how well the plan would meet the basins’ needs. This will inform SWSI and the State

Water Plan on how we are meeting our municipal, industrial, agricultural, environmental and recreational gaps in a meaningful way.

One meeting with the BRT and key stakeholders will be held in early May to discuss results from the assessment of Project and Methods (Task 4); funding mechanisms, feasibility strategies and partnerships; strategies to ensure public education and acceptance and timelines and key tasks and milestones. The Goals & Measurable Outcomes will also be revisited to discuss how the final list of Project and Methods are compatible with the Goals and Measurable Outcomes.

The consultant team will provide the following:

- AMEC will provide the meeting materials including the agenda, handouts and PowerPoint presentations. In addition AMEC will be responsible for incorporating the feedback from this meeting into the draft BIP.
- Community Agriculture Alliance will provide facilitation services and assist the BRT on who from the Stakeholders to invite to the public meeting

One conference call will be held with the Subcommittee in advance of the BRT meeting in preparation for the meeting. During this conference call, the Subcommittee will have an opportunity to review and comment on the draft material to be presented at the BRT meeting.

Information from this task will be incorporated into Sections 5 and 6 of the BIP.

### **Task 6 Public Education, Participation and Outreach**

This task entails the public outreach effort necessary to educate and generate support from the public on the BIP. This task includes conducting four public meetings with representatives from the Yampa/White/Green Roundtable, including its Education Committee, and other interested parties including but not limited to municipalities, counties, local leaders, community groups, agricultural interests, energy companies, environmental concerns, recreationalists, and property owners. It is assumed that these meetings will occur in February and March and that the BRT Education Subcommittee and other BRT members will lead the process. The public outreach effort will also include:

- Newspaper articles and engagement in Craig, Meeker, Hayden and Steamboat Springs
- Radio station ads and engagement in Craig, Steamboat Spring and Vernal
- Social media outreach including partner websites, Facebook and CWCB website

Information from this task will be incorporated into Section 4.1 of the BIP. This task will address steps that can be taken to integrate the Public Education, Participation, and Outreach Workgroup of the IBCC and the Basin Roundtable Education Liaison's Education Action Plan to reach out to decision makers. These strategies for outreach will outline how to communicate to decision makers in the basin so they better understand how they are represented, the status of the basin's consumptive and nonconsumptive needs, planned projects, current river operation, and opportunities and constraints associated with different hydrologic cycles. This public education and outreach will be facilitated by the Community Agriculture Alliance.

Community Agriculture Alliance will take the lead on developing and producing meeting handouts, and implementing the outreach via newspaper articles, radio stations and social media. The BRT will take the lead in defining and implementing the outreach strategy and hosting and running the public meetings. The cost for this task includes some time for coordination between the BRT, the Community Agriculture Alliance and AMEC.

## **Task 7 Development of BIP**

This task entails the development of the BIP. Sections of the BIP will be drafted as information is obtained through each of the tasks above and the Table of Contents in Attachment C. Additionally, a summary of public education and outreach efforts (Section 4.1 of the BIP) and watershed health (Section 4.2 of the BIP) will be addressed. The watershed health section will summarize main basin-wide issues and disclose organizations/agencies involved in addressing concerns and useful data sources such as the Colorado State Forest Service Colorado Wildfire Risk Assessment Portal (contains geospatial data analyzed for identifying critical watersheds at risk from post-fire erosion).

The development of the BIP will consist of three drafts. An electronic first draft of the BIP will be provided by AMEC to the Subcommittee and BRT for review followed by a second electronic draft for submission to the CWCB. The final electronic draft of the BIP will be developed by AMEC after CWCB has provided feedback. The following items outline the sequence of draft revisions and activities necessary to incorporate the BIP into the State Water Plan.

- Early June - First draft of the BIP for the Subcommittee and BRT review
- Early July – AMEC receives comments from the Subcommittee and BRT
- July 14 – Second draft of the BIP is submitted to CWCB
- July CWCB meeting – The BRT will present the draft BIP to the CWCB Board. For cost estimating purposes, it is assumed that the Consultant Team will provide a PowerPoint presentation for the BRT members to present.
- August through December 2014 – Input from the CWCB will be provided to the BRT
- End of 2014 – Final draft of the BIP

We anticipate that editorial comments from all BRT members will be combined and conflicting suggestions reconciled prior to transmittal to AMEC. One set of consolidated comments will be provided to AMEC from the BRT and Subcommittee. AMEC will incorporate the comments and deliver the BIP to the CWCB for review. Following submittal to the CWCB in July, it is assumed that the BRT will consolidate CWCB comments and provide one set of comments to AMEC on information to incorporate into the final draft.

## **Task 8 Project Management**

This task includes the following project management activities necessary to administer the project:

- A maximum of ten progress reports and ten project invoices.
- Project management and administration until end of December 2014.
- Internal Meetings to discuss work progress.
- Project invoicing and administration
- Three mandatory CWCB meeting and the BIP Summit in March

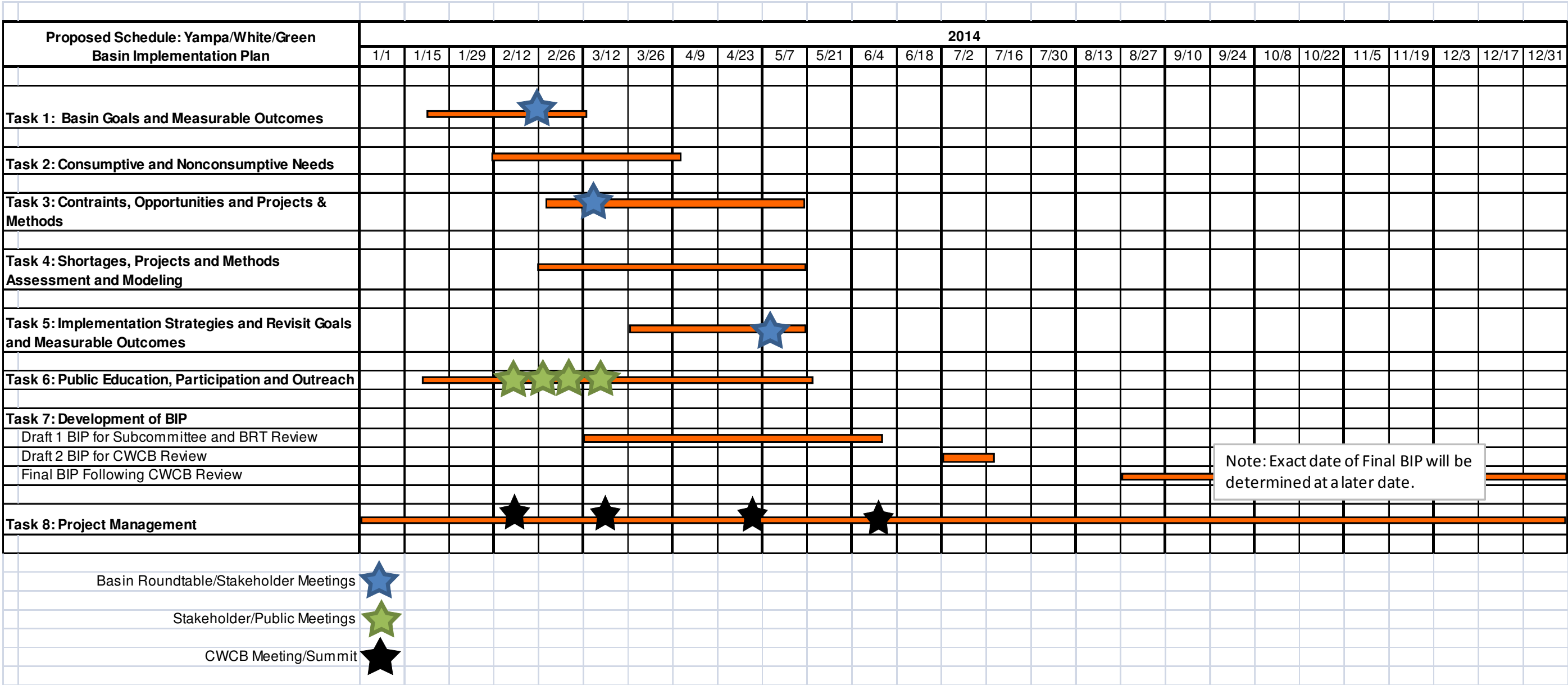
This task also includes the development of a PowerPoint presentation for the BRT to present at the July CWCB Board meeting on the BIP. It is assumed that the Consultant Team would not be attending this meeting.

Attachment A (Project Cost Estimate)

Task	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Rate	Hrs	Classification	Subcontractor	Mileage/Car Rental	Total	
Task 1: Basin Goals & Measurable Outcomes (Includes Meeting #1)	\$125	24.0	Professional Level 15	\$135	6.0	Professional Level 16	\$32.76	6.0	Subcontractor	\$198	3.0	Professional Level 25															\$4,600.56	
Task 2: Consumptive and Nonconsumptive Needs																												
Subtask 2.1: Evaluate Consumptive Needs	\$125	34.0	Professional Level 15	\$135	4.0	Professional Level 16	\$73	20.0	Professional Level 5	\$68	4.0	Administrative Level 8	131.25	4.0	Subcontractor												\$7,047.00	
Subtask 2.2: Evaluate Nonconsumptive Needs	\$125	36.0	Professional Level 15	\$135	4.0	Professional Level 16	\$73	20.0	Professional Level 5	\$68	4.0	Administrative Level 8															\$6,772.00	
Task 3: Constraints, Opportunities and Projects & Methods																												
Subtask 3.1: Evaluate Consumptive Constraints and Opportunities	\$125	31.2	Professional Level 15	\$135	4.0	Professional Level 16	\$73	4.0	Professional Level 5																		\$4,732.00	
Subtask 3.2: Evaluate Nonconsumptive Constraints and Opportunities	\$125	31.2	Professional Level 15	\$135	4.0	Professional Level 16	\$198	2.0	Professional Level 25																		\$4,836.00	
Subtask 3.3: Review Consumptive Projects and Methods	\$125	23.2	Professional Level 15	\$135	4.0	Professional Level 16	\$198	4.0	Professional Level 25	131.3	4.0	Subcontractor															\$4,757.20	
Subtask 3.4: Review Nonconsumptive Projects and Methods	\$125	23.2	Professional Level 15	\$135	4.0	Professional Level 16	\$198	4.0	Professional Level 25																		\$4,232.00	
Subtask 3.5: Meeting #2 (with BRT) - Early March	\$125	22.0	Professional Level 15	\$135	11.0	Professional Level 16	\$32.76	6.0	Subcontractor	\$198	0.5	Professional Level 25	\$68	0.7	Administrative Level 8										\$200		\$4,778.16	
Task 4: Shortages, Projects and Methods Assessment and Modeling																												
Subtask 4.1: Water Management and Water Administration	\$125	8.0	Professional Level 15	\$135	6.0	Professional Level 16	\$198	18.0	Professional Level 25																		\$5,374.00	
Subtask 4.2: Evaluate Projects and Methods	\$125	68.0	Professional Level 15	\$135	4.0	Professional Level 16	\$198	4.0	Professional Level 25	\$73	6.0	Professional Level 5															\$10,270.00	
Subtask 4.3: Current and Future Shortage Analyses	\$125	44.0	Professional Level 15	\$135	12.0	Professional Level 16	\$198	4.0	Professional Level 25	\$73	8.0	Professional Level 5															\$8,496.00	
Subtask 4.4: Water Resources Modeling	\$125	10.0	Professional Level 15	\$135	4.0	Professional Level 16	\$198	1.0	Professional Level 25	\$105	32.0	Professional Level 11															\$5,348.00	
Task 5: Implementation Strategies and Revisit Goals and Measurable Outcomes																												
Subtask 5.1: Strategies to Ensure Public Education and Acceptance	\$125	2.6	Professional Level 15	\$135	0.5	Professional Level 16	\$32.76	2.0	Subcontractor																		\$458.02	
Subtask 5.2: Funding Mechanisms, Feasibility, Strategies & Partnerships	\$125	6.0	Professional Level 15	\$135	1.0	Professional Level 16	\$198	1.2	Professional Level 25	\$73	4.0	Professional Level 5	\$188	1.0	Professional Level 23												\$1,602.60	
Subtask 5.3: Timelines, Key Subtasks & Milestones	\$125	6.0	Professional Level 15	\$135	1.0	Professional Level 16	\$198	1.2	Professional Level 25	\$73	4.0	Professional Level 5	\$188	1.0	Professional Level 23												\$1,602.60	
Subtask 5.4: Revisit Goals & Measureable Outcomes	\$125	6.0	Professional Level 15	\$135	1.0	Professional Level 16	\$198	1.2	Professional Level 25	\$73	4.0	Professional Level 5	\$188	1.0	Professional Level 23												\$1,602.60	
Subtask 5.5: Meeting #3 - Early May	\$125	22.0	Professional Level 15	\$135	11.0	Professional Level 16	\$32.76	6.0	Subcontractor	\$198	0.4	Professional Level 25	\$68	2.0	Administrative Level 8										\$200		\$4,846.76	
Task 6: Public Education, Participation and Outreach																												
Subtask 6.1: Newspaper Articles and Advertisements																									\$2,625.00		\$2,625.00	
Subtask 6.2: Radio Advertising																									\$2,100.00		\$2,100.00	
Subtask 6.3: Development of Social Media Outreach																									\$1,050.00		\$1,050.00	
Subtask 6.4: Conference Calls with BRT Committee	\$125	20.0	Professional Level 15	\$135	10.0	Professional Level 16	\$31.20	6.0	Subcontractor																		\$4,037.20	
Subtask 6.5: Public Meetings	\$125	4.0	Professional Level 15	\$135	2.0																				\$6,300.00		\$7,070.00	
Task 7: Development of BIP																												
Subtask 7.1: Draft Plan for BRT Review	\$125	86.0	Professional Level 15	\$135	11.0	Professional Level 16	\$32.76	6.0	Subcontractor	\$198	5.0	Professional Level 25	\$73	9.0	Professional Level 5	\$68	1.3	Administrative Level 8	131.3	8.0	Subcontractor	\$110	9.5	Professional Level 12			\$16,262.36	
Subtask 7.2: Draft Plan for CWCB Review	\$125	48.0	Professional Level 15	\$135	8.0	Professional Level 16	\$110	4.0	Professional Level 12																		\$7,520.00	
Subtask 7.3: Final Plan Following CWCB Review	\$125	40.0	Professional Level 15	\$135	4.0	Professional Level 16	\$110	2.0	Professional Level 12																		\$5,760.00	
Task 8: Project Management																												
Subtask 8.1: Invoicing, Progress Reports, Internal Meeting, Coordination, Project Management	\$125	16.0	Professional Level 15	\$135	44.0	Professional Level 16				\$198	5.0	Professional Level 25				\$68	4.0	Administrative Level 8				\$70	8.0	Administrative Level 9			\$9,762.00	
Subtask 8.2: CWCB Meetings (3 meetings & March Summit)	\$125	50.0	Professional Level 15	\$135	44.0	Professional Level 16																				\$334	\$12,524.00	
																											Total	\$150,066.06
																											Contingency	\$27,000
																											Total with Contingency	\$177,066.06
																											Approved by CWCB	\$100,000
																											Unfunded balance subject to CWCB approval and amended agreement	\$77,066.06

Assumptions: (1) It is assumed that the BRT will arrange the facility for hosting the BRT and Public meetings.

Attachment B (Schedule)



## **Attachment C**

### **Table of Contents for the BIP**

Executive Summary

Particularly Important Concerns, Issues, Constraints

Section 1: Basin Goals and Measurable Outcomes

Section 2: Evaluate Consumptive and Nonconsumptive Needs

2.1: Nonconsumptive Needs

2.2: Consumptive Needs

Section 3: Evaluate Consumptive & Nonconsumptive Constraints and Opportunities

3.1: Current Basin Water Operations and Hydrology

3.2: Water Management and Water Administration

3.3: Water Resources Modeling

3.4: Shortages Analysis

Section 4: Projects and Methods

4.1: Education, Participation & Outreach

4.2 Watershed Health

4.3: New Multi-Purpose, Cooperative, and Regional Projects and Methods

4.4: M&I Projects/Methods (i.e. projects, conservation, reuse, drought planning)

4.5: Agricultural Projects & Methods

4.6: Nonconsumptive Projects and Methods

4.7: Interbasin Projects and Methods (optional)

Section 5: Implementation Strategies for the Projects and Methods

Section 6: How the plan meets the Roundtables' Goals



**Colorado River Water Conservation District  
Water Supply Reserve Account Grant  
Performance Monitoring Provisions**

**Statutory Requirements**

- 2) Each personal services contract entered into pursuant to this code with a value of one hundred thousand dollars or more shall contain
- (a) Performance measures and standards developed specifically for the contract by the governmental body administering the contract. The performance measures and standards shall be negotiated by the governmental body and the vendor prior to execution of the contract and shall be incorporated into the contract. The measures and standards shall be used by the governmental body to evaluate the performance of the governmental body and the vendor under the contract.
  - (b) An accountability section that requires the vendor to report regularly on achievement of the performance measures and standards specified in the contract and that allows the governmental body to withhold payment until successful completion of all or part of the contract and the achievement of established performance standards. The accountability section shall include a requirement that payment by the governmental body to the vendor shall be made without delay upon successful completion of all or any part of the contract in accordance with the payment schedule specified in the contract or as otherwise agreed upon by the parties.
  - (c) Monitoring requirements that specify how the governmental body and the vendor will evaluate each others' performance, including progress reports, site visits, inspections, and reviews of performance data. The governmental body shall use one or more monitoring processes to ensure that the results, objectives, and obligations of the contract are met.
  - (d) Methods and mechanisms to resolve any situation in which the governmental body's monitoring assessment determines noncompliance, including termination of the contract.

**Performance Monitoring Standards**

Performance monitoring for this contract shall include the following:

- (a) Performance measures and standards: The grantee shall draft a Yampa/White Basin Implementation Plan that conforms to the Basin Implementation Plan Guidance Document to include Sections 1-6 on behalf of the Yampa/White/Green River Basin Roundtable. Grantee will produce detailed deliverables for Tasks 1 to 8 as specified in Exhibit A. Grantee shall maintain receipts for all project expenses and documentation of the minimum in-kind contributions per the budget in Exhibit A. Per WSRA Criteria and Guidelines, retainage of 5% of the grant funds shall be withheld until receipt of the final report and all other deliverables.
- (b) Accountability: Per WSRA Criteria and Guidelines full documentation of project progress must be submitted with each invoice for reimbursement. Grantee must certify that all grant conditions have been complied with on each invoice. In addition, per WSRA Criteria and Guidelines progress reports must be submitted at least once every 6 months. A final project report must be submitted and approved before final project payment and release of retainage.
- (c) Monitoring Requirements: Grantee is responsible for ongoing monitoring of project progress per Exhibit A and Paragraphs 9 & 19 of the contract. Progress shall be detailed in the required invoice documentation and progress reports as detailed above. Additional inspections or field consultations will be arranged as may be necessary.
- (d) Noncompliance Resolution: Per paragraphs 9, 14, 15, and 19 of the contract: payment will be withheld until grantee is current on all grant conditions. Flagrant disregard for grant conditions will result in a stop work order and cancellation of the purchase order.