



COLORADO WATER CONSERVATION BOARD

WATER SUPPLY RESERVE ACCOUNT GRANT APPLICATION FORM



Totten Reservoir Hydrographic Survey

Name of Water Activity/Project

Approving Basin Roundtable

\$29,500

Amount from Statewide Account

Total Amount of Funds Requested

Amount from Basin Account

\$29,500

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Instructions

To receive funding from the Water Supply Reserve Account (WSRA), a proposed water activity must be approved by the local Basin Roundtable AND the Colorado Water Conservation Board (CWCB). The process for Basin Roundtable consideration/approval is outlined in Attachment 1.

Once approved by the local Basin Roundtable, the applicant should submit this application, a detailed statement of work, detailed project budget, and project schedule to the CWCB staff by the application deadline.

The application deadlines are:

- Basin Account – 60 calendar days prior to the bi-monthly Board meeting
- Statewide Account – 60 calendar days prior to the September Board meeting

Board Meeting Dates	Basin Account Deadlines	Statewide Account Deadlines
July 20-21, 2010	May 21, 2010	n/a
September 21-22	July 23, 2010	July 23, 2010
November 16-17	September 17, 2010	n/a
January 2011	60 days prior	n/a
March 2011	60 days prior	n/a
May 2011	60 days prior	n/a
July 2011	60 days prior	n/a
September 2011	60 days prior	60 days prior

When completing this application, the applicant should refer to the WSRA Criteria and Guidelines available at: <http://cwcb.state.co.us/IWMD>.

The application, statement of work, budget, and schedule must be submitted in electronic format (Microsoft Word or text-enabled PDF are preferred) and can be emailed or mailed on a disk to:

Mr. Todd Doherty
Colorado Water Conservation Board
Water Supply Planning Section
WSRA Application
1580 Logan Street, Suite 200
Denver, CO 80203
Todd.Doherty@state.co.us

If you have questions or need additional assistance, please contact Todd Doherty of the Water Supply Planning Section at 303-866-3441 x3210 or todd.doherty@state.co.us.

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Part A. - Description of the Applicant (Project Sponsor or Owner);

1. Applicant Name(s): **Dolores Water Conservancy District**

Mailing address:

PO Box 1150
60 S. Cactus St.
Cortez, CO 81321

Taxpayer ID#:

84-0625315

Email address:

dwcd@frontier.net

Phone Numbers: Business:

970-565-7562

Home:

Fax:

970-565-0870

2. Person to contact regarding this application if different from above:

Name:

Kenneth W. Curtis III

Position/Title

District Engineer

3. Eligible entities that may apply for grants from the WSRA include the following. What type of entity is the Applicant?

☐ Public (Government) – municipalities, enterprises, counties, and State of Colorado agencies. Federal agencies are encouraged to work with local entities and the local entity should be the grant recipient. Federal agencies are eligible, but only if they can make a compelling case for why a local partner cannot be the grant recipient.

☒ Public (Districts) – special, water and sanitation, conservancy, conservation, irrigation, or water activity enterprises.

☐ Private Incorporated – mutual ditch companies, homeowners associations, corporations.

☐ Private individuals, partnerships, and sole proprietors are eligible for funding from the Basin Accounts but not for funding from the Statewide Account.

☐ Non-governmental organizations – broadly defined as any organization that is not part of the government.

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4. Provide a brief description of your organization

The Dolores Water Conservancy District was decreed on November 20, 1961 by the District Court for Montezuma County under C.R.S Title 37 Article 45, Water Conservancy Districts. The decree delineates District purposes as follows:

- Provide for the conservation of water resources within the District
- Encourage and assist in the construction of water projects which:
 - Are for the public benefit and advantage to residents of the District
 - Will benefit all industry within the District
 - Increase taxable property valuation within the District
 - Benefit municipalities by providing adequate supplies of water
 - Provide irrigation water to agricultural lands
 - Promote the comfort, safety, health and welfare of the people of the District

A primary mission for the District has been to operate the Bureau of Reclamation Dolores Project. Contracts were signed in 1977, McPhee Dam completed in 1987 and the total projected completed and full operational and maintenance responsibility transferred to DWCD in 2000. The Dolores Project accomplishes the following:

- Solidifies the late season irrigation supplies to the historic Dolores River irrigator, MVIC, for supplemental irrigation of 26,300 acres.
- Helped settle the Ute Indian Water Rights Settlement Act of 1986 by providing 23,717 AF of water to irrigate 7,600 acres of new farm land for the UMUT. 1,000 AF of Municipal & Industrial water was also provided to supply Towaoc domestic needs.
- Provided 56,267 AF to the full service farmers for 28,566 acres, previously dry land, in Montezuma and Dolores Counties.
- Provides approximately 3,000 AF of Municipal & Industrial supplies to Cortez and Dove Creek.
- Provides Lawn & Garden, augmentation and exchanges for several hundred users within the District.
- Provides a 29,824 AF to the fishery below McPhee combined with 1,274 AF of downstream senior water rights and the Paradox Salinity exchange of 700 AF.
- Provided 1,600 AF to mitigate other fish & wildlife Project impacts in the San Juan Basin.

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5. If the Contracting Entity is different then the Applicant (Project Sponsor or Owner) please describe the Contracting Entity here.

Same

6. Successful applicants will have to execute a contract with the CWCB prior to beginning work on the portion of the project funded by the WSRA grant. In order to expedite the contracting process the CWCB has established a standard contract with provisions the applicant must adhere to. A copy of this standard contract is included in Attachment 3. Please review this contract and check the appropriate box.

☒ The Applicant will be able to contract with the CWCB using the Standard Contract

☐ The Applicant has reviewed the standard contract and has some questions/issues/concerns. Please be aware that any deviation from the standard contract could result in a significant delay between grant approval and the funds being available.

7. The Tax Payer Bill of Rights (TABOR) may limit the amount of grant money an entity can receive. Please describe any relevant TABOR issues that may affect the applicant.

DWCD performs both Governmental and Water Activity Enterprise functions which are budgeted separately. The proposed project will be undertaken as a Water Activity Enterprise function, and the requested grant will not affect the DWCD Tabor limits. The primary source of revenue for the Water Activity Enterprise is the payment of substantial O&M charges by irrigators. Grant funding is being requested in order to provide a future source of water for irrigation and M&I needs, without putting the cost of this future benefit on current irrigators.

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Part B. - Description of the Water Activity

1. Name of the Water Activity/Project:

Totten Reservoir Hydrographic Survey

2. What is the purpose of this grant application? (Please check all that apply.)

☐

Environmental compliance and feasibility study

☐

Technical Assistance regarding permitting, feasibility studies, and environmental compliance

☐

Studies or analysis of structural, nonstructural, consumptive, nonconsumptive water needs, projects

Study or Analysis of:

☐

Structural project or activity

☐

Nonstructural project or activity

☐

Consumptive project or activity

☐

Nonconsumptive project or activity

☒

Structural and/ or nonstructural water project or activity

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3. Please provide an overview/summary of the proposed water activity (no more than one page). Include a description of the overall water activity and specifically what the WSRA funding will be used for.

DWCD plans to update the Totten reservoir area capacity data as required under Colorado Law by hiring the USGS to provide a complete Hydrographic Survey Report. The USGS will:

- Perform bathymetry survey plus surveying to account for the additional volume that is between the echo-sounder sensor working depth and the current lake level. This also includes land surveying for the additional volume that is above the current lake level (5 feet plus some additional freeboard).
- Process the above and below waterline surveys to remove anomalies and generate a single mesh, construct maps of the reservoir area, and generate a stage-storage-surface area rating table.
- Write a Scientific Investigative Report (SIR) that would be viewable over the Internet and downloadable in PDF format.

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Part C. – Threshold and Evaluation Criteria

1. Describe how the water activity meets these **Threshold Criteria**. (Detailed in Part 3 of the Water Supply Reserve Account Criteria and Guidelines.)

a) The water activity is consistent with Section 37-75-102 Colorado Revised Statutes.¹

Nothing in this project will impair water rights protected by CRS 37-75-102. The project will actually improve the administration of basin water rights by accurately measuring the storage capacity of Totten Reservoir for use by the water commissioners in the administration of water rights. Accurate measurements are required by the water rights decree, under Court Case No. C.A. 967, Adjudication 12/18/1933, Priority No. 9-R, that established Totten Reservoir storage rights.

¹ 37-75-102. Water rights - protections. (1) It is the policy of the General Assembly that the current system of allocating water within Colorado shall not be superseded, abrogated, or otherwise impaired by this article. Nothing in this article shall be interpreted to repeal or in any manner amend the existing water rights adjudication system. The General Assembly affirms the state constitution's recognition of water rights as a private usufructuary property right, and this article is not intended to restrict the ability of the holder of a water right to use or to dispose of that water right in any manner permitted under Colorado law. (2) The General Assembly affirms the protections for contractual and property rights recognized by the contract and takings protections under the state constitution and related statutes. This article shall not be implemented in any way that would diminish, impair, or cause injury to any property or contractual right created by intergovernmental agreements, contracts, stipulations among parties to water cases, terms and conditions in water decrees, or any other similar document related to the allocation or use of water. This article shall not be construed to supersede, abrogate, or cause injury to vested water rights or decreed conditional water rights. The General Assembly affirms that this article does not impair, limit, or otherwise affect the rights of persons or entities to enter into agreements, contracts, or memoranda of understanding with other persons or entities relating to the appropriation, movement, or use of water under other provisions of law.

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- b) The water activity underwent an evaluation and approval process and was approved by the Basin Roundtable (BRT) and the application includes a description of the results of the BRTs evaluation and approval of the activity. At a minimum, the description must include the level of agreement reached by the roundtable, including any minority opinion(s) if there was not general agreement for the activity. The description must also include reasons why general agreement was not reached (if it was not), including who opposed the activity and why they opposed it. Note- If this information is included in the letter from the roundtable chair simply reference that letter.

See letter from Basin Roundtable Chair

- c) The water activity meets the provisions of Section 37-75-104(2), Colorado Revised Statutes.² The Basin Roundtable Chairs shall include in their approval letters for particular WSRA grant applications a description of how the water activity will assist in meeting the water supply needs identified in the basin roundtable's consumptive and/or non-consumptive needs assessments.

See letter from Basin Roundtable Chair

² 37-75-104 (2)(c). Using data and information from the Statewide Water Supply Initiative and other appropriate sources and in cooperation with the on-going Statewide Water Supply Initiative, develop a basin-wide consumptive and nonconsumptive water supply needs assessment, conduct an analysis of available unappropriated waters within the basin, and propose projects or methods, both structural and nonstructural, for meeting those needs and utilizing those unappropriated waters where appropriate. Basin Roundtables shall actively seek the input and advice of affected local governments, water providers, and other interested stakeholders and persons in establishing its needs assessment, and shall propose projects or methods for meeting those needs. Recommendations from this assessment shall be forwarded to the Interbasin Compact Committee and other basin roundtables for analysis and consideration after the General Assembly has approved the Interbasin Compact Charter.

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- d) Matching Requirement: For requests from the Statewide Fund, the applicants is required to demonstrate a 20 percent (or greater) match of the request from the Statewide Account. Sources of matching funds include but are not limited to Basin Funds, in-kind services, funding from other sources, and/or direct cash match. Past expenditures directly related to the project may be considered as matching funds if the expenditures occurred within 9 months of the date the application was submitted to the CWCB. Please describe the source(s) of matching funds. (NOTE: These matching funds should also be reflected in your Detailed Budget in Part D of this application)

N.A.

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2. For Applications that include a request for funds from the Statewide Account, describe how the water activity meets the **Evaluation Criteria**. (Detailed in Part 3 of the Water Supply Reserve Account Criteria and Guidelines.)

Totten Reservoir water rights were decreed under Court Case No. C.A. 967, Adjudication 12/18/1933, Priority No. 9-R, with diversions from the Dolores River. As a historical MVIC storage reservoir, it has provided service to local irrigators from 1965 to the completion of the Dolores Project around 1987. When the Dolores Project cut off Totten from the existing MVIC system, they received replacement storage in McPhee for the lost irrigation storage. Totten was later purchased by DWCD in 2002 with the intent to put it back into productive use. DWCD has received a decree to use Totten to provide exchange in the McElmo drainage under 99CW69 that will assist future McElmo development. DWCD also continues to pursue additional fill sources to develop water in this basin. Since 2002, DWCD has also spent over \$50,000 on direct operations and capital improvements to modernize Totten for future productive use.

The CDOW currently operates the lease for the surface access/use and reservoir fishery. The Montezuma Land Conservancy has a protective easement on the northeast inlet into Totten providing wildlife habitat within private wetlands area adjoining the reservoir.

- The local community uses Totten for recreation including fishing, boating and open space access.
- Totten has historically and will in the future support McElmo irrigators in future dry years.
- As an existing reservoir, it is much simpler to bring up to standard and utilize than a new dam and storage reservoir.
- Continued improvements, such as this bathymetric survey project, for Totten Reservoir will support the above existing and potential uses in line with the Basin Round Table goals to support agriculture, domestic supply and the environment.

Part D. – Required Supporting Material

1. Water Rights, Availability, and Sustainability

This information is needed to assess the viability of the water project or activity. Please provide a description of the water supply source to be utilized, or the water body to be affected by, the water activity. This should include a description of applicable water rights and the name/location of water bodies affected by the water activity.

This project will update and accurately define the physical storage available for use under Court Case No. C.A. 967, Adjudication 12/18/1933, Priority No. 9-R, that established Totten Reservoir storage rights. The project will not change any water rights, but will help in the proper administration of those existing water rights.

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2. Please provide a brief narrative of any related or relevant previous studies.

The existing DWCD Water Management Plan, prepared by Harris Water Engineering, recognized Totten Reservoir as an existing water supply that could be put back into productive use and led to the new Exchange decree and the pursuit of additional fill rights. A current study effort to evaluate options for meeting future water needs of the Ute Mountain Ute Tribe continues to explore additional agricultural uses for Totten Reservoir.

3.

4. Statement of Work, Detailed Budget, and Project Schedule

The statement of work will form the basis for the contract between the Applicant and the State of Colorado. In short, the Applicant is agreeing to undertake the work for the compensation outlined in the statement of work and budget, and in return, the State of Colorado is receiving the deliverables/products specified. Please note that costs incurred prior to execution of a contract or purchase order are not subject to reimbursement.

Please provide a detailed statement of work using the following template. Additional sections or modifications may be included as necessary. Please define all acronyms. If a grant is awarded an independent statement of work document will be required with correct page numbers.

Statement of Work

WATER ACTIVITY NAME – Totten Reservoir Hydrographic Survey

GRANT RECIPIENT – Dolores Water Conservancy District

FUNDING SOURCE – Dolores, San Miguel and San Juan Basins Roundtable Funds

INTRODUCTION AND BACKGROUND

Provide a brief description of the project. (Please limit to no more than 200 words; this will be used to inform reviewers and the public about your proposal)

DWCD plans to contract with the USGS to conduct a bathymetric survey, analyze the results and produce a final hydrographic report on Totten Reservoir.

OBJECTIVES

List the objectives of the project

Define the current accurate area capacity data for the appropriate administration and operation of Totten Reservoir.

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TASKS

Provide a detailed description of each task using the following format

TASK 1 – Bathymetric Survey

Description of Task

Perform bathymetry survey plus surveying to account for the additional volume that is between the echo sounder sensor working depth and the current lake level. The echo sounder has a minimum working depth below the sensor of 0.5 meters, so additional physical surveys will tie the ends of each transect to the waterline. Additional physical data acquisition will include land surveying for the additional volume that is above the current lake level (5 feet plus some additional freeboard). Also, using the more powerful multi-beam echo sounder provides a surface relief map of the reservoir bottom in addition to a contour map.

Method/Procedure

Bathymetric surveys using multi-beam echo sounder and Differential Global Positional System (DGPS) coordinate location. Surface DGPS data surveys for above waterline area.

Deliverable

Electronic bathymetric survey data

TASK 2 – Data Analysis

Description of Task

Process the above and below waterline surveys to remove anomalies and generate a single mesh, construct maps of the reservoir area, and generate a stage-storage-surface area rating table.

Method/Procedure

Computer generated graphical review and adjustment of data.

Deliverable

Triangulated Irregular Network data files and stage storage quantities.

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TASK 3 – Final Report

Description of Task/ Deliverable

Write a Scientific Investigative Report (SIR) that would be viewable over the Internet and downloadable in PDF format.

REPORTING AND FINAL DELIVERABLE

Reporting: The applicant shall provide the CWCB a progress report every 6 months, beginning from the date of the executed contract. The progress report shall describe the completion or partial completion of the tasks identified in the statement of work including a description of any major issues that have occurred and any corrective action taken to address these issues.

Final Deliverable: At completion of the project, the applicant shall provide the CWCB a final report that summarizes the project and documents how the project was completed. This report may contain photographs, summaries of meetings and engineering reports/designs.

BUDGET

Provide a detailed budget by task including number of hours and rates for labor and unit costs for other direct costs (i.e. mileage, \$/unit of material for construction, etc.). A detailed and perfectly balanced budget that shows all costs is required for the State's contracting and purchase order processes. Sample budget tables are provided below. Please note that these budget tables are examples and will need to be adapted to fit each individual application. Tasks should correspond to the tasks described above.

Total Costs				
	USGS (Requested Roundtable Funding)	In-Kind Direct Costs	In-Kind DWCD Personnel	Total Project
Total Cost	\$29,500	\$2,746	\$2,754	\$35,000

USGS Hydrographic Survey	
	Direct Costs
Task 1 – Hydrographic Survey	\$29,500
Task 2 – Analyze Data	Included above
Task 3 – Final Report	Included above
Total Cost	\$29,500

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District Personnel In-Kind Contributions

District Personnel	District Engineer	Dam Tender	Engineer Tech	Vehicles	Total
Hourly Rate w/Fringe	\$57.45	\$32.58	\$22.81	\$18.10	
Task 1 -	15	20	5	40	
Task 2 -	2	-	-	-	
Task 3	5	-	-	-	
Total Hours	22	20	5		
Total Cost	\$1,264	\$652	\$114	\$724	\$2,754

District Consultant/Contractor In-Kind Contributions

	Water Resource Engineer	Professional Surveyor & crew	Survey Crew	Mileage	Total
Hourly Rate	\$150	\$94	\$162	\$0.70/mile	
Task 1 -		4	10	214	
Task 2 -					
Task 3	4				
Total Hours	4	4	10		
Total Cost	\$600	\$376	\$1,620	\$150	\$2746

SCHEDULE

Provide a project schedule including key milestones for each task and the completion dates or time period from the Notice to Proceed (NTP). This dating method allows flexibility in the event of potential delays from the procurement process. Sample schedules are provided below. Please note that these schedules are examples and will need to be adapted to fit each individual application.

Bathymetric Survey of Totten Reservoir

Task	Start Date	Finish Date
1 Field Survey	May 2011	June 2011
2 Data Analysis	July 2011	August 2011
3 Final Report	September 2011	October 2011

Please note that Totten reservoir usually freezes solid over the winter. Spring brings rapid weather changes and wind. Therefore, schedules will be set with USGS based on their availability and the most likely good weather season for the initial field work that will take about one week. After data collection, office work will proceed as assigned and should not have weather related constraints.

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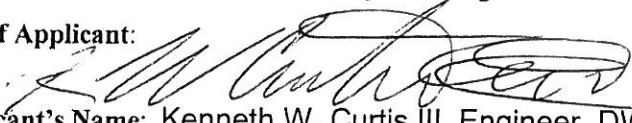
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PAYMENT

Payment will be made based on actual expenditures and invoicing by the applicant. Invoices from any other entity (i.e. subcontractors) cannot be processed by the State. The request for payment must include a description of the work accomplished by major task, and estimate of the percent completion for individual tasks and the entire water activity in relation to the percentage of budget spent, identification of any major issues and proposed or implemented corrective actions. The last 5 percent of the entire water activity budget will be withheld until final project/water activity documentation is completed. All products, data and information developed as a result of this grant must be provided to the CWCB in hard copy and electronic format as part of the project documentation. This information will in turn be made widely available to Basin Roundtables and the general public and help promote the development of a common technical platform.

The above statements are true to the best of my knowledge:

Signature of Applicant:



Print Applicant's Name: Kenneth W. Curtis III, Engineer, DWCD

Project Title: Totten Reservoir Hydrographic Survey

Date: August 27, 2010

Return this application to:

Mr. Todd Doherty
Intrastate Water Management and Development Section
COLORADO WATER CONSERVATION BOARD
1580 Logan Street, Suite 200
Denver, CO 80203

To submit applications by Email, send to: todd.doherty@state.co.us

To submit applications by Fax, send to: (303) 894-2578

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Attachment 1 Reference Information

The following information is available via the internet. The reference information provides additional detail and background information.

Colorado Water Conservation Board (<http://cwcb.state.co.us/>)

Loan and Grant policies and information are available at – <http://cwcb.state.co.us/Finance/>

Interbasin Compact Committee and Basin Roundtables (<http://ibcc.state.co.us/>)

Interbasin Compact Committee By-laws and Charter (under Helpful Links section) –

<http://ibcc.state.co.us/Basins/IBCC/>

Legislation

House Bill 05-1177 - Also known as the Water for the 21st Century Act –

<http://cwcbweblink.state.co.us/DocView.aspx?id=105662&searchhandle=28318>

House Bill 06-1400 – Adopted the Interbasin Compact Committee Charter –

<http://cwcbweblink.state.co.us/DocView.aspx?id=21291&searchhandle=12911>

Senate Bill 06-179 – Created the Water Supply Reserve Account –

<http://cwcbweblink.state.co.us/DocView.aspx?id=21379&searchhandle=12911>

Statewide Water Supply Initiative

General Information – <http://cwcb.state.co.us/TWMD/>

Phase 1 Report – <http://cwcb.state.co.us/TWMD/SWSITechnicalResources/SWSIPhaseIReport/>

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Attachment 2 Insurance Requirements

NOTE: The following insurance requirements taken from the standard contract apply to WSRA projects that exceed \$25,000 in accordance with the policies of the State Controller's Office. Proof of insurance as stated below is necessary prior to the execution of a contract.

13. INSURANCE

Grantee and its Sub-grantees shall obtain and maintain insurance as specified in this section at all times during the term of this Grant. All policies evidencing the insurance coverage required hereunder shall be issued by insurance companies satisfactory to Grantee and the State.

A. Grantee

i. Public Entities

If Grantee is a "public entity" within the meaning of the Colorado Governmental Immunity Act, CRS §24-10-101, et seq., as amended (the "GIA"), then Grantee shall maintain at all times during the term of this Grant such liability insurance, by commercial policy or self-insurance, as is necessary to meet its liabilities under the GIA. Grantee shall show proof of such insurance satisfactory to the State, if requested by the State. Grantee shall require each Grant with Sub-grantees that are public entities, providing Goods or Services hereunder, to include the insurance requirements necessary to meet Sub-grantee's liabilities under the GIA.

ii. Non-Public Entities

If Grantee is not a "public entity" within the meaning of the GIA, Grantee shall obtain and maintain during the term of this Grant insurance coverage and policies meeting the same requirements set forth in §13(B) with respect to sub-Grantees that are not "public entities".

B. Sub-Grantees

Grantee shall require each Grant with Sub-grantees, other than those that are public entities, providing Goods or Services in connection with this Grant, to include insurance requirements substantially similar to the following:

i. Worker's Compensation

Worker's Compensation Insurance as required by State statute, and Employer's Liability Insurance covering all of Grantee and Sub-grantee employees acting within the course and scope of their employment.

ii. General Liability

Commercial General Liability Insurance written on ISO occurrence form CG 00 01 10/93 or equivalent, covering premises operations, fire damage, independent Grantees, products and completed operations, blanket Grantual liability, personal injury, and advertising liability with minimum limits as follows: (a) \$1,000,000 each occurrence; (b) \$1,000,000 general aggregate; (c) \$1,000,000 products and completed operations aggregate; and (d) \$50,000 any one fire. If any aggregate limit is reduced below \$1,000,000 because of claims made or paid, Sub-grantee shall immediately obtain additional insurance to restore the full aggregate limit and furnish to Grantee a certificate or other document satisfactory to Grantee showing compliance with this provision.

iii. Automobile Liability

Automobile Liability Insurance covering any auto (including owned, hired and non-owned autos) with a minimum limit of \$1,000,000 each accident combined single limit.

iv. Additional Insured

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Grantee and the State shall be named as additional insured on the Commercial General Liability and Automobile Liability Insurance policies (leases and construction Grants require additional insured coverage for completed operations on endorsements CG 2010 11/85, CG 2037, or equivalent).

v. Primacy of Coverage

Coverage required of Grantee and Sub-grantees shall be primary over any insurance or self-insurance program carried by Grantee or the State.

vi. Cancellation

The above insurance policies shall include provisions preventing cancellation or non-renewal without at least 45 days prior notice to the Grantee and the State by certified mail.

vii. Subrogation Waiver

All insurance policies in any way related to this Grant and secured and maintained by Grantee or its Sub-grantees as required herein shall include clauses stating that each carrier shall waive all rights of recovery, under subrogation or otherwise, against Grantee or the State, its agencies, institutions, organizations, officers, agents, employees, and volunteers.

C. Certificates

Grantee and all Sub-grantees shall provide certificates showing insurance coverage required hereunder to the State within seven business days of the Effective Date of this Grant. No later than 15 days prior to the expiration date of any such coverage, Grantee and each Sub-grantee shall deliver to the State or Grantee certificates of insurance evidencing renewals thereof. In addition, upon request by the State at any other time during the term of this Grant or any sub-grant, Grantee and each Sub-grantee shall, within 10 days of such request, supply to the State evidence satisfactory to the State of compliance with the provisions of this §13.

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Attachment 3

Water Supply Reserve Account Standard Contract

NOTE: The following contract is required for WSRA projects that exceed \$100,000. (Projects under this amount will normally be funded through a purchase order process.) Applicants are encouraged to review the standard contract to understand the terms and conditions required by the State in the event a WSRA grant is awarded. Significant changes to the standard contract require approval of the State Controller's Office and often prolong the contracting process.

It should also be noted that grant funds to be used for the purchase of real property (e.g. water rights, land, conservation easements, etc.) will require additional review and approval. In such cases applicants should expect the grant contracting process to take approximately 3 to 6 months from the date of CWCB approval.

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Attachment 4
W-9 Form

NOTE: A completed W-9 form is required for all WSRA projects prior execution of a contract or purchase order. Please submit this form with the completed application.

Attachment 5
Dolores Project Map

Attachment 6
Totten Aerial Photo

Request for Taxpayer Identification Number and Certification

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)

Dolores Water Conservancy District

Business name, if different from above

Check appropriate box: ☐ Individual/Sole proprietor ☐ Corporation ☐ Partnership

☐ Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶

☒ Other (see instructions) ▶ **Special District**

☒ Exempt
payee

Address (number, street, and apt. or suite no.)

P O Box 1150, 60 Cactus Street

City, state, and ZIP code

Cortez CO 81321

Requester's name and address (optional)

List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

84 0625315

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

Sign
Here

Signature of
U.S. person ▶

Gina Espeland

Date ▶

8-30-10

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,